

# Robots, neuroscience and artificial cognition



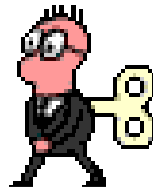
Giorgio Metta

Robotica Antropomorfa  
Lezione 1-3

[Part 1]

# Goals

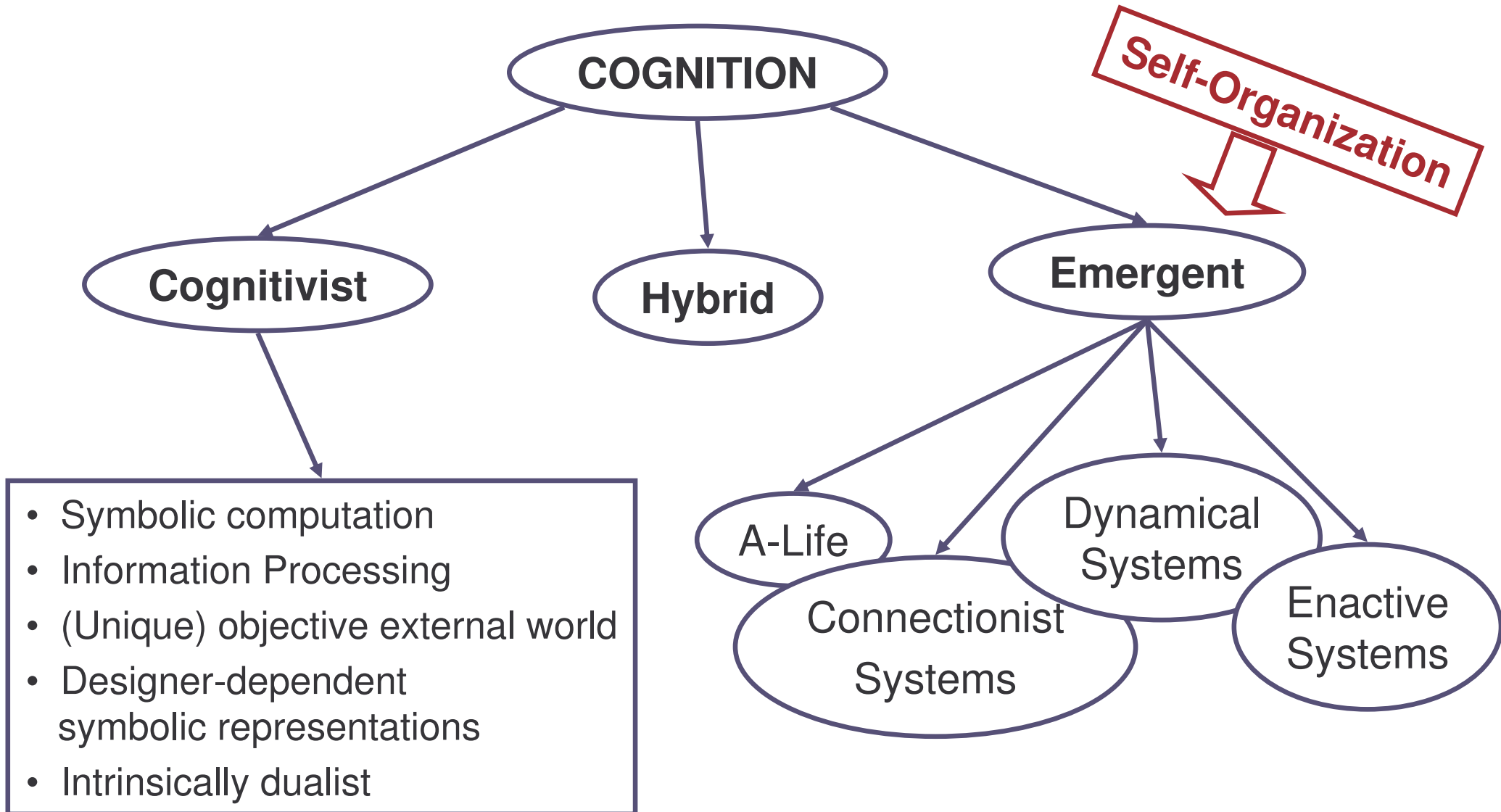
General-purpose artificial interactive systems with the robustness and resilience of a human



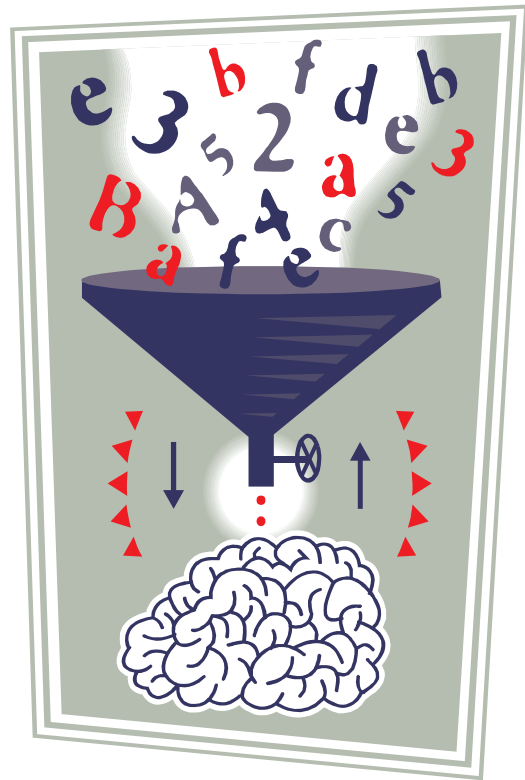
Cognitive Systems:

- Adaptive
- Anticipatory
- Autonomous
- Interactive
- Robust
- Intelligent

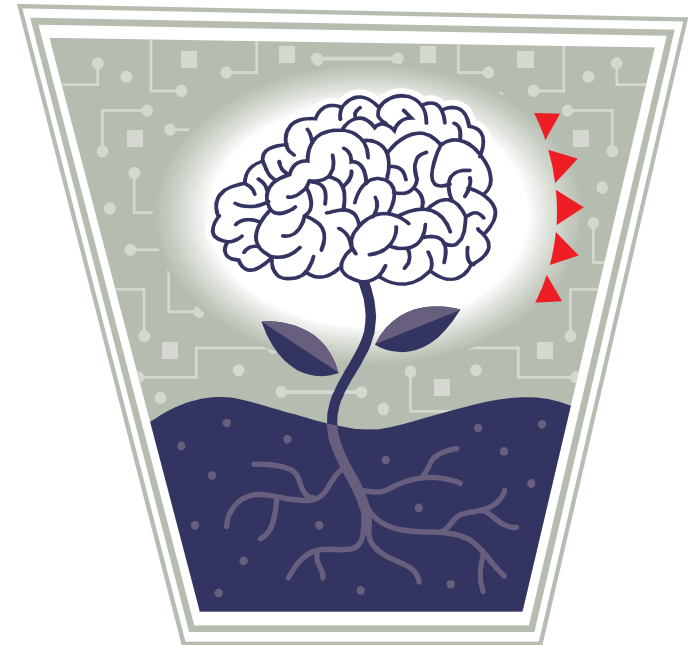
# Paradigms of Cognitive Systems



# The kernel of the problem



OR



# Cognitive Systems:

- Adaptive
- Anticipatory
- Autonomous
- Interactive
- Robust
- Intelligent

- In short, act in their environment
- We can pursue two strategies to tackle the problem

1

Study action, cognition is a byproduct of sophisticated acting, prediction, and long-term prospection

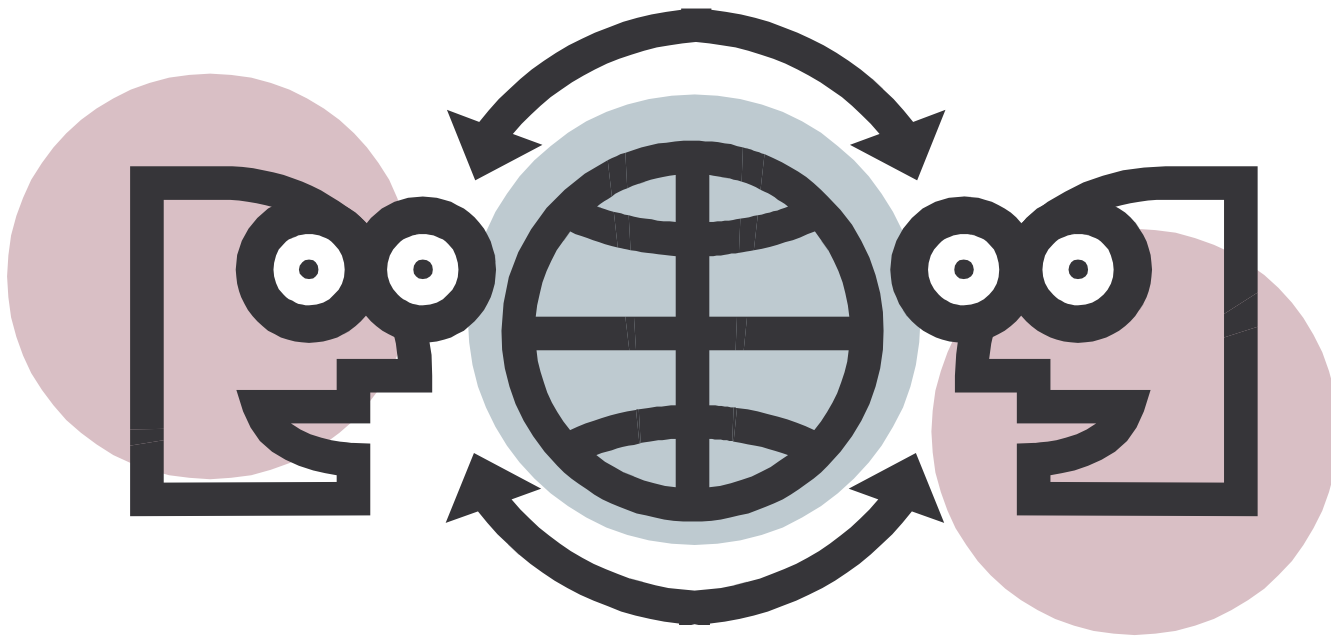


2

Assume there's an independent cognitive module that eventually determines action



# Our approach



# Our approach

## Guiding Philosophy

- Cognition cannot be hand-coded
- Is necessarily the product of a process of embodied development
- Initially dealing with immediate events
- Increasingly acquiring a predictive capability

Cognition and perception are functionally-dependent on the richness of the action interface




# Our Approach (contd.)

## Emergent embodied cognitive systems:

- Given a rich set of innate action and perception capabilities
- Develop over time an increasing range of cognitive abilities
- Recruiting ever more complex actions
- Achieving an increasing degree of prospection (and, hence, adaptability and robustness)

# Our Approach (contd.)

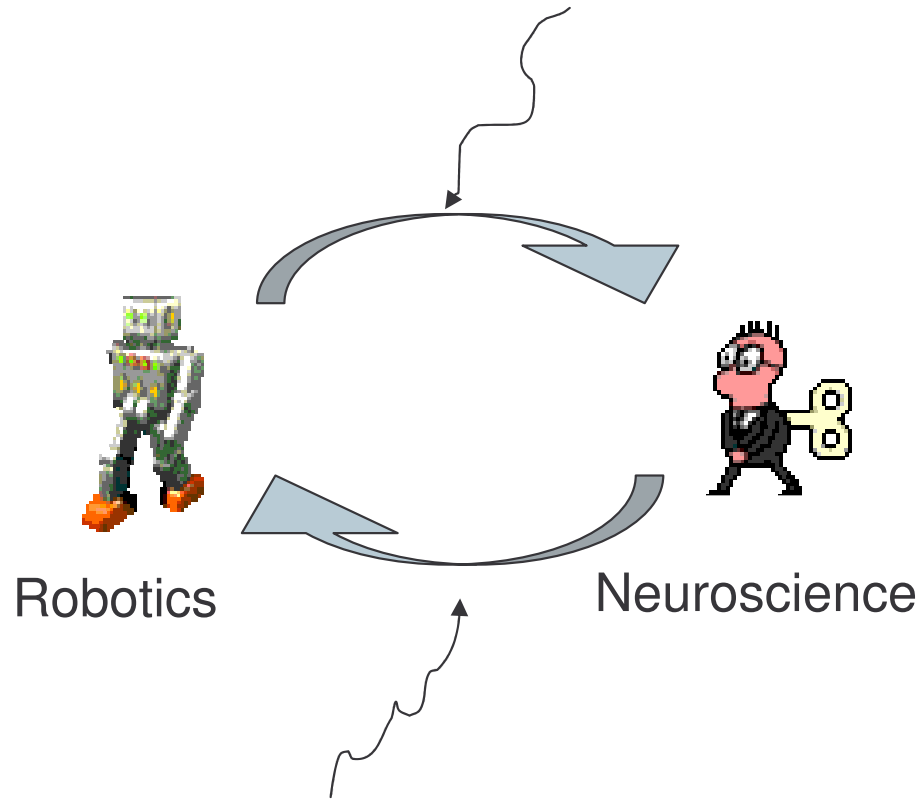
Cognitive development involves several phases

- 
1. Coordination of eye-gaze, head attitude, hand placement when reaching
  2. Dexterous manipulation of the environment: learn the affordances in the context of one's own developing capabilities
  3. Ideally, communicate through gestures, simple expressions of its understanding, achieved through
    - rich manipulation-based exploration & social contact
    - imitation
    - multi-agent social interaction

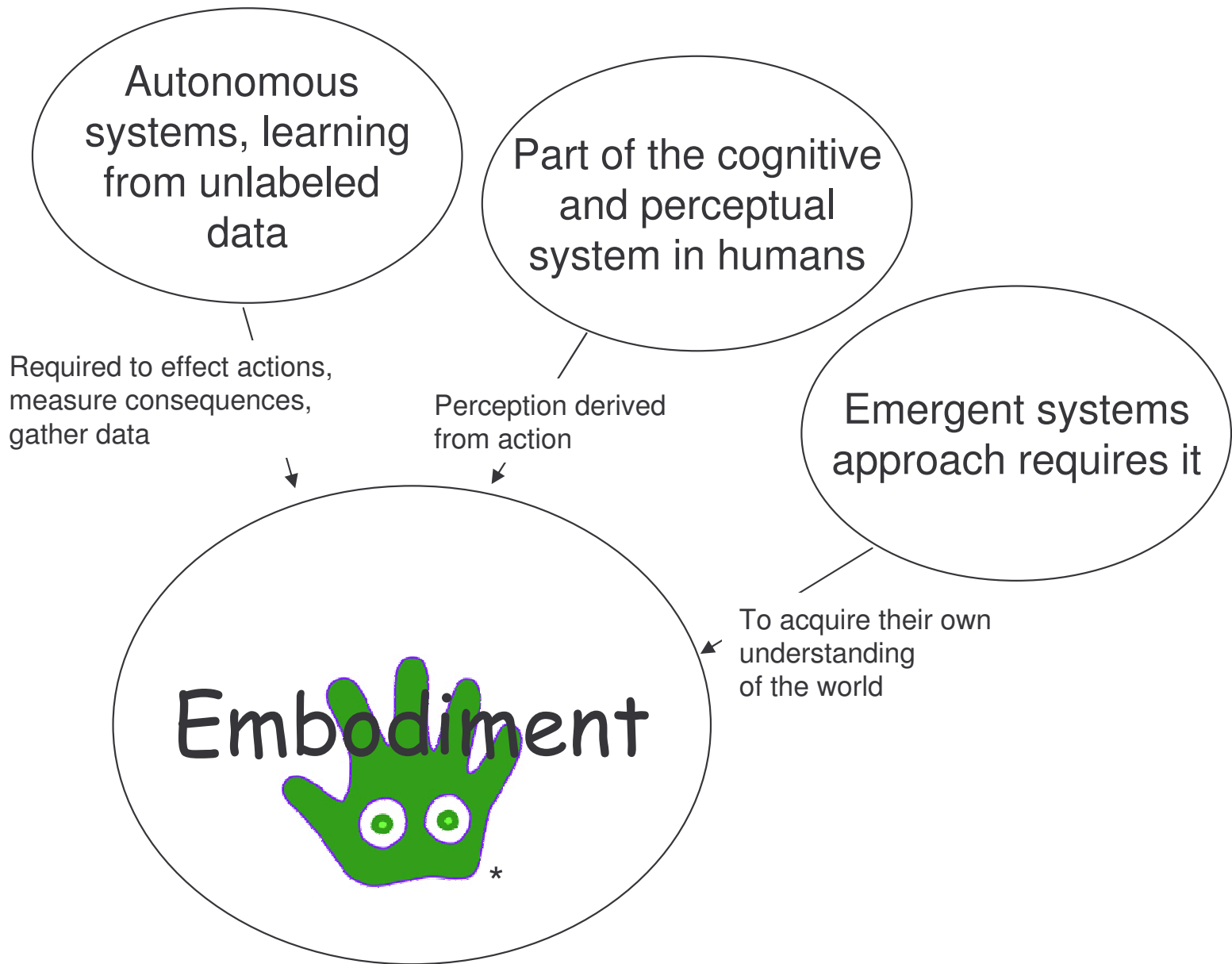
More complex and revealing exploratory use of action

# ...but even more ambitiously

Designing new experiments, better understanding, precise models



Inspiration, design constraints, biological plausibility



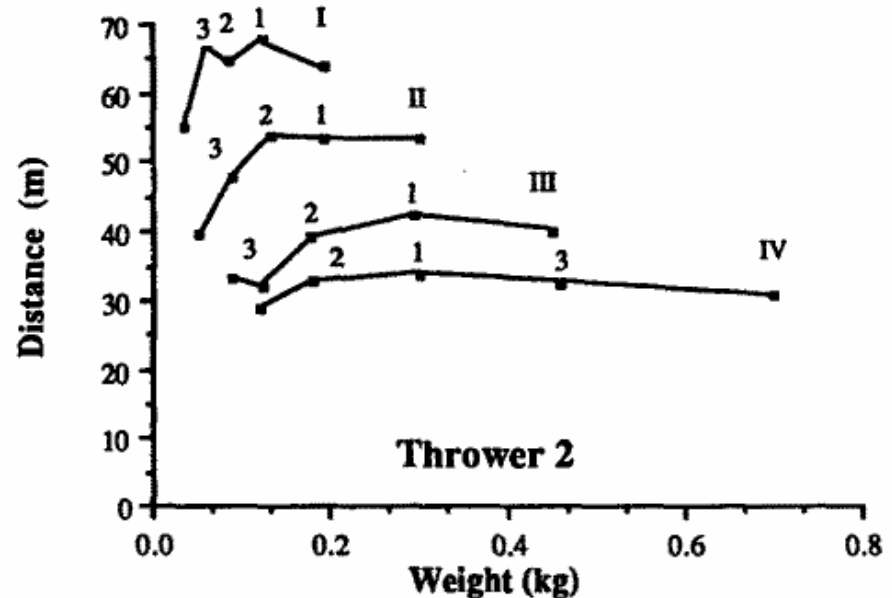
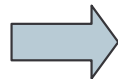
\* <http://www.chessstetson.com/eagleeye.html>

# Throwing stones...



Random source from the Internet

Because the kinetic energy developed during a throw determines flight distance and because the kinetic energy coupling term relates to one of the means by which kinetic energy is developed and transferred eventually to a projectile, the interjoint phase relations [elbow-wrist] were interpreted as providing information for the perceived property, “optimal object for throwing to a maximum distance”.



4 sizes, 5 weights, 3 choices

The answer entailed by the approach taken in the [experiments] is that the scaling relations are based on the same or on closely related dynamics.

Bingham, Schmidt, Rosenblum. *Hefting for a maximum distance throw: a smart perceptual mechanism*. Journal of experimental psychology: human perception and performance. 15(3) 1989

# Speech listening...

*European Journal of Neuroscience, Vol. 15, pp. 399–402, 2002*

© Federation of European Neuroscience Societies

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## SHORT COMMUNICATION

### Speech listening specifically modulates the excitability of tongue muscles: a TMS study

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Luciano Fadiga,<sup>1</sup> Laila Craighero,<sup>1,2</sup> Giovanni Buccino<sup>2</sup> and Giacomo Rizzolatti<sup>2</sup>

<sup>1</sup>Dipartimento di Scienze Biomediche e Terapie Avanzate, Sezione di Fisiologia Umana, Università di Ferrara, via Fossato di Mortara 17/19, 44100 Ferrara, Italy

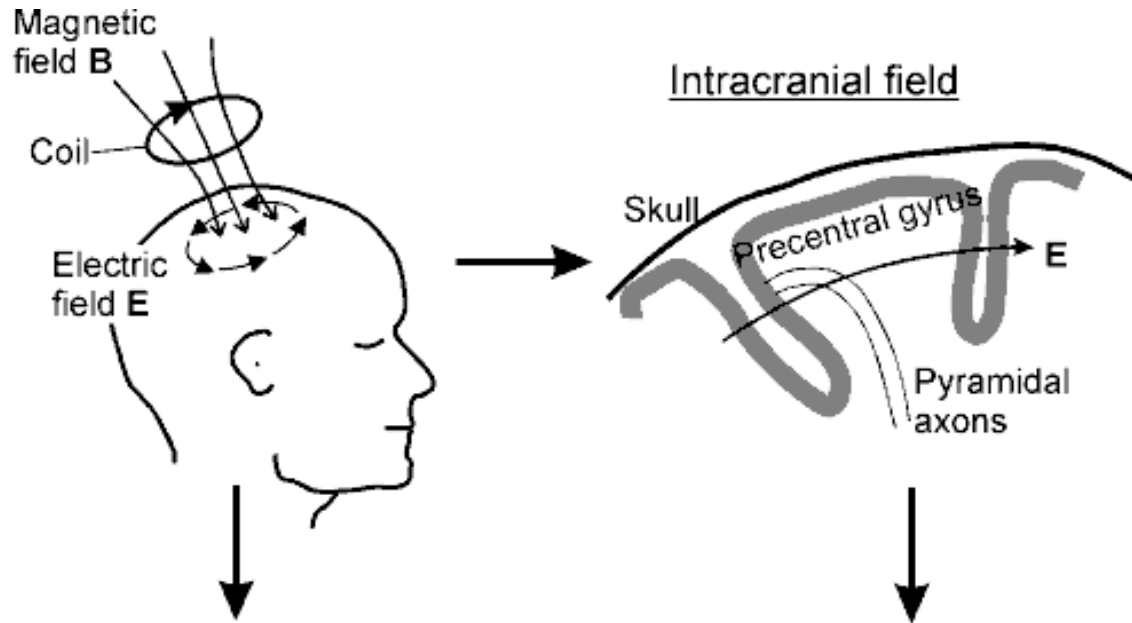
<sup>2</sup>Istituto di Fisiologia Umana, Università di Parma, via Volturno 39, 43100 Parma, Italy

*Keywords:* mirror neurons, motor-evoked potentials, motor system, motor theory of speech perception

# What we get from the paper

- Listening: three categories of stimuli (words, pseudo-words, bi-tonal sounds).
- Two phonemes 'rr' requires strong tongue tip movement, 'ff' requires slight tongue tip movement.
- TMS of the under-threshold motor cortex.
- Recording of the MEP (motor-evoked potential) from the tongue muscles.

# What is TMS



Intracellular more negative:  
-70mV

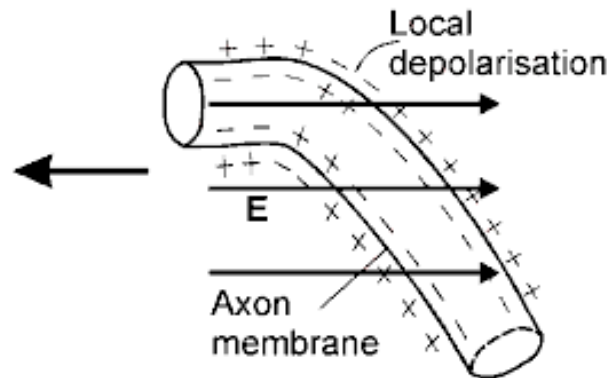
The electric field depolarizes the cells.

It's more likely that action potentials are generated.

## Macroscopic response

- evoked neuronal activity (EEG)
- changes in blood flow and metabolism (PET, fMRI, NIRS, SPECT)
- muscle twitches (EMG)
- changes in behaviour

## Microscopic response





# Numbers

- 3KVolts
- 5.5KA
- Raising time  $2\mu s$
- Impulse duration  $100\mu s$
- Activation region 2-3cm diameter
- Magnetic field 2.5T (40000 times the field of the earth)
- Neurons involved in the order of 100K

# What it is nice about TMS an example

- In blind people a tactile discrimination task activated also "visual" and association areas.
- PET or fMRI cannot prove though that this activation is functional to the task.
- TMS was used to disrupt the functioning of the visual areas.
- Blind people had a greater chance to make mistakes compared to non-blind controls.

# Examples of word/pseudo-words

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Labiodental fricative consonant, 'rr'

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| Words              | Pseudo-words |
|--------------------|--------------|
| birra (bier)       | berro        |
| carro (cart)       | firra        |
| cirro (cirrus)     | forro        |
| farro (spelt)      | furra        |
| ferro (iron)       | marro        |
| mirra (myrrh)      | merro        |
| morra (morra)      | parro        |
| porro (leek)       | perro        |
| serra (greenhouse) | vorro        |
| terra (ground)     | vurro        |

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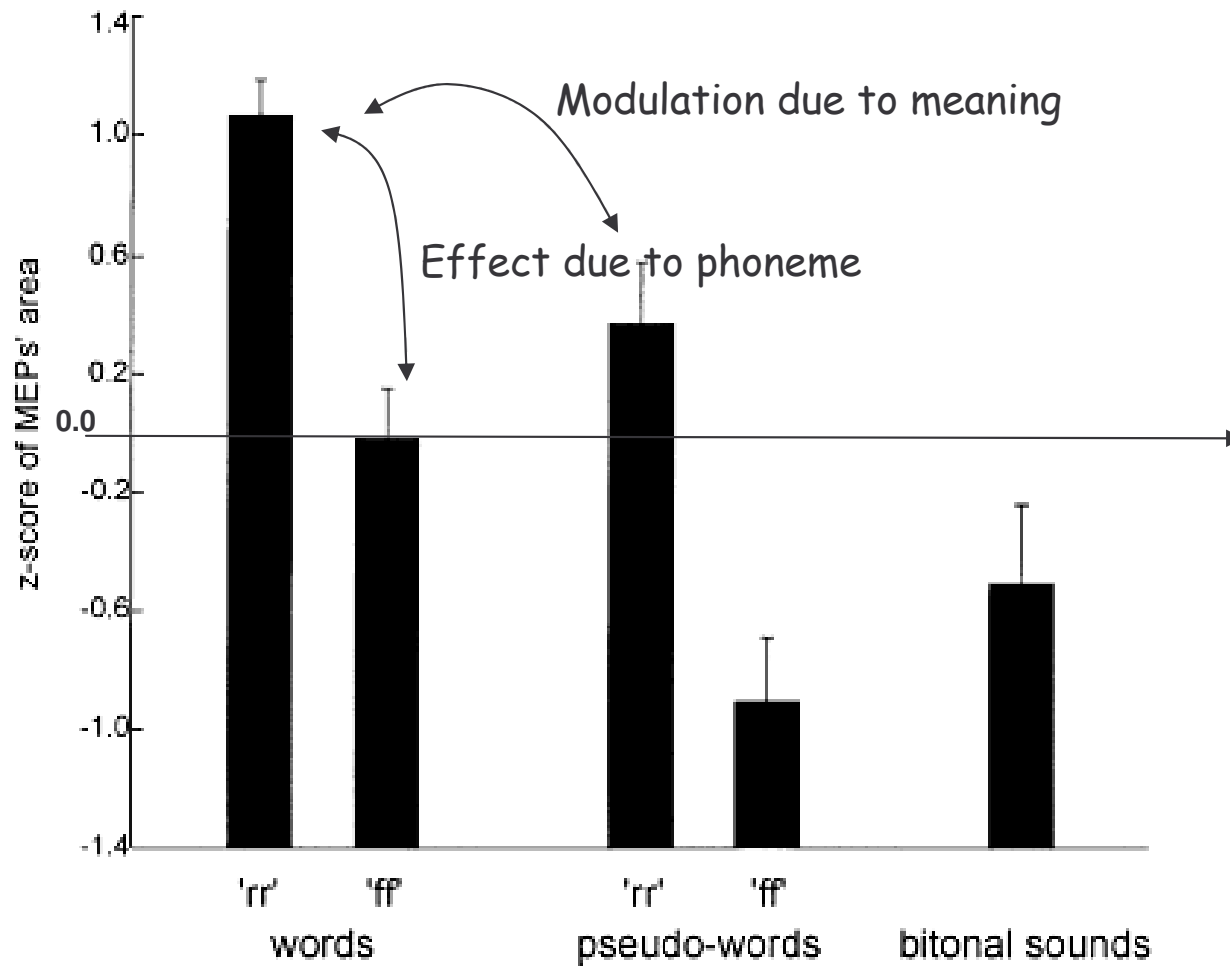
Lingua-palatal fricative consonant, 'ff'

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| Words               | Pseudo-words |
|---------------------|--------------|
| baffo (moustache)   | biffo        |
| beffa (hoax)        | ciffo        |
| buffo (funny)       | leffa        |
| ceffo (snout)       | meffa        |
| coffa (crow's nest) | paffo        |
| goffo (clumsy)      | peffa        |
| muffa (mold)        | poffa        |
| puffo (smurf)       | seffa        |
| tuffo (dive)        | viffo        |
| zaffo (plug)        | voffo        |

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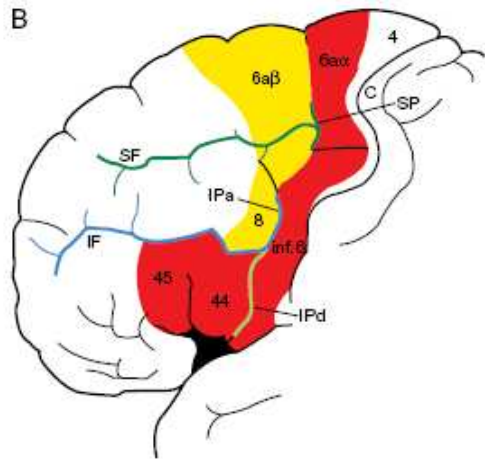
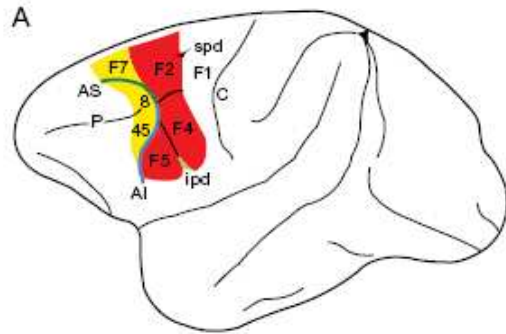
# Results (in short)



# Language within our grasp

Giacomo Rizzolatti and Michael A. Arbib

In monkeys, the rostral part of ventral premotor cortex (area F5) contains neurons both when the monkey grasps or manipulates objects and when it observes making similar actions. These neurons (mirror neurons) appear to represent a sy



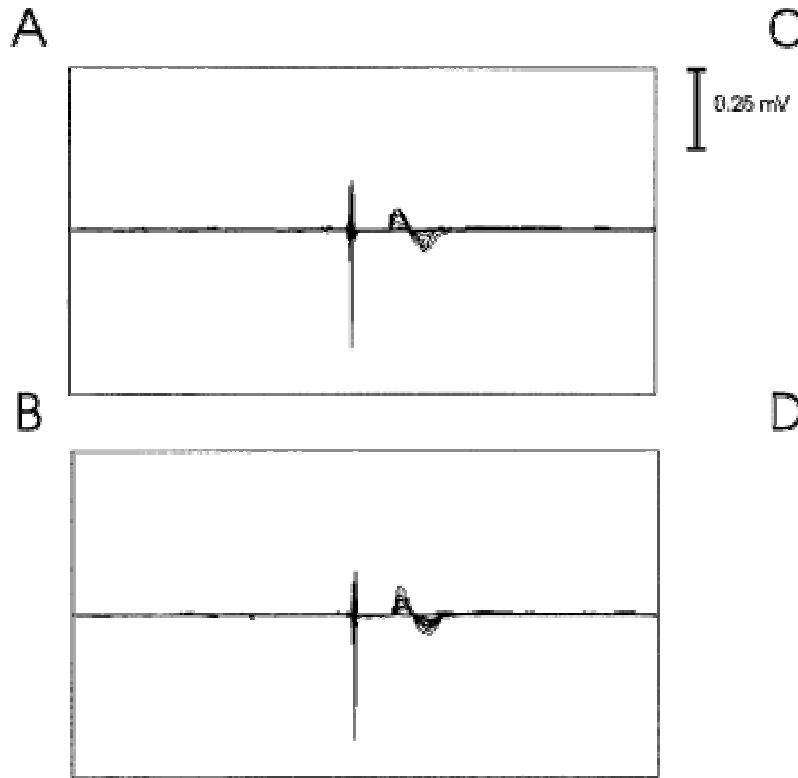
*"In all communication, sender and receiver must be bound by a common understanding about what counts; what counts for the sender must count for the receiver, else communication does not occur.*

*Moreover the processes of **production** and **perception** must somehow be linked; their representation must, at some point, be the **same**."*

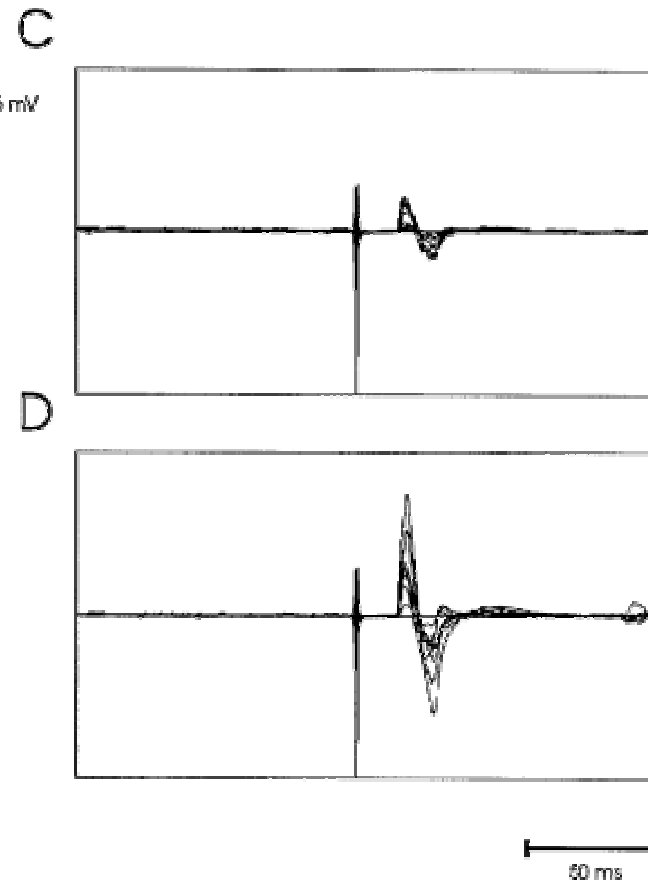
[Alvin Liberman, 1993]

# Motor imagery

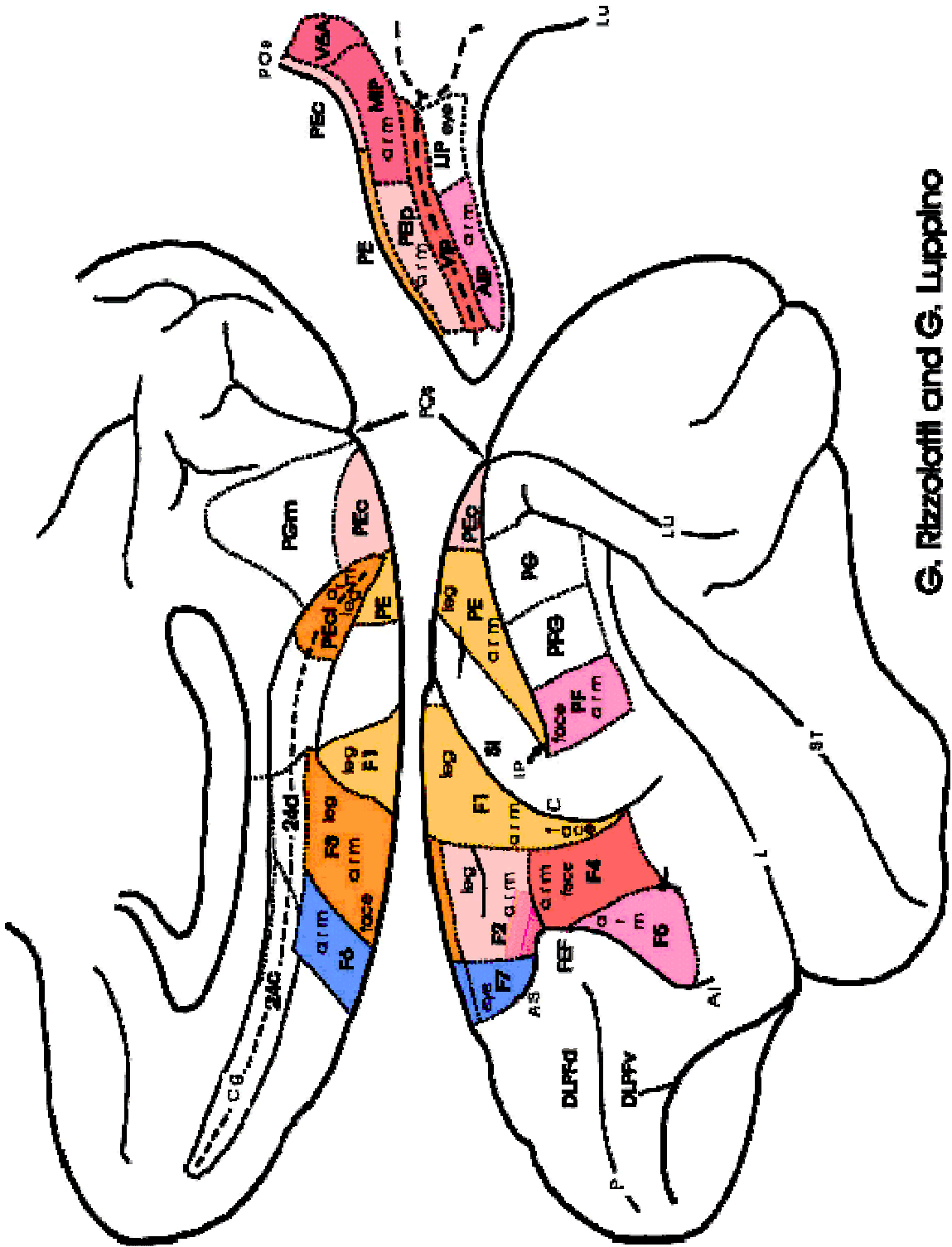
Imagining a visual task



Imagining a motor task  
(forearm flexion)



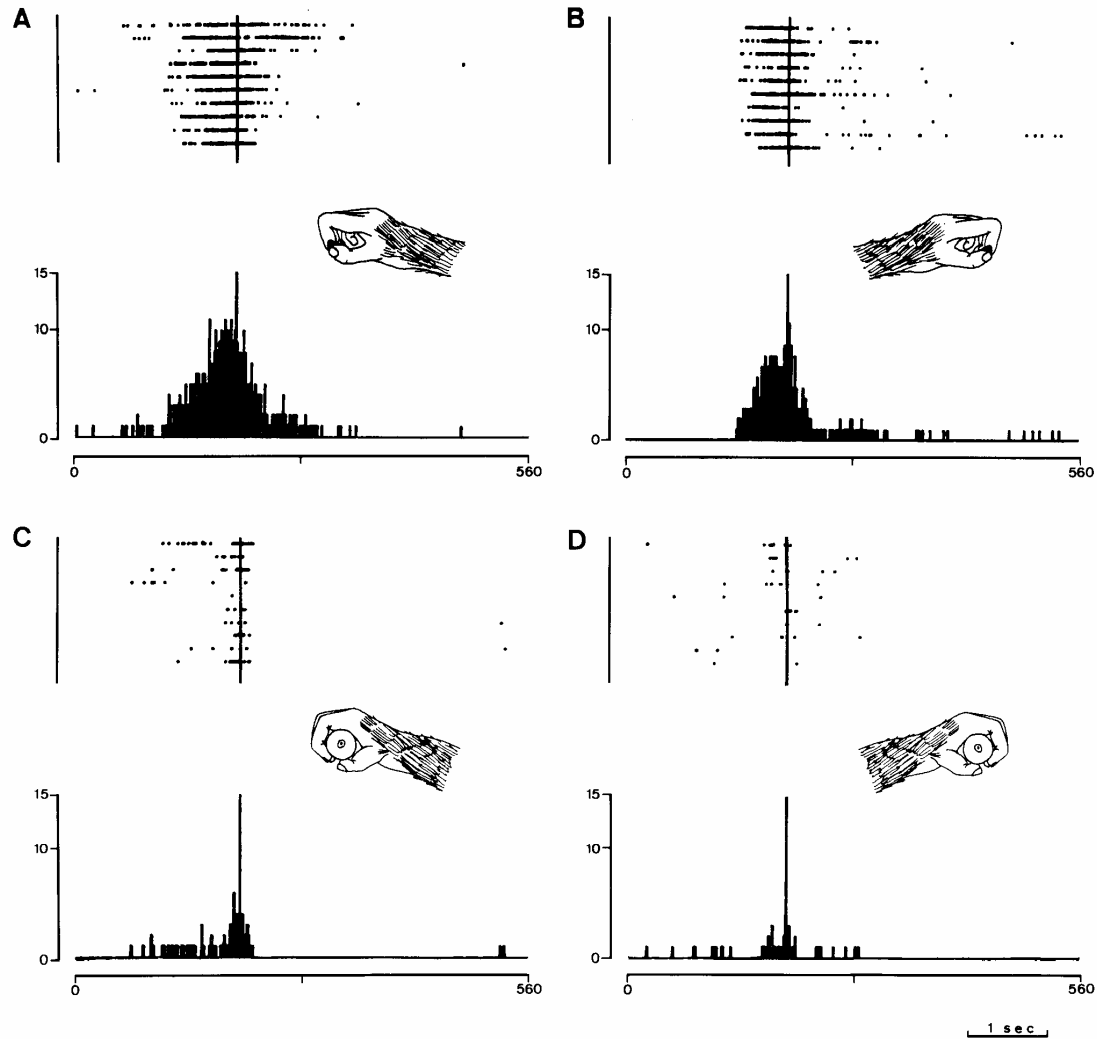
[Part 2]



G. Rizzolatti and G. Luppino

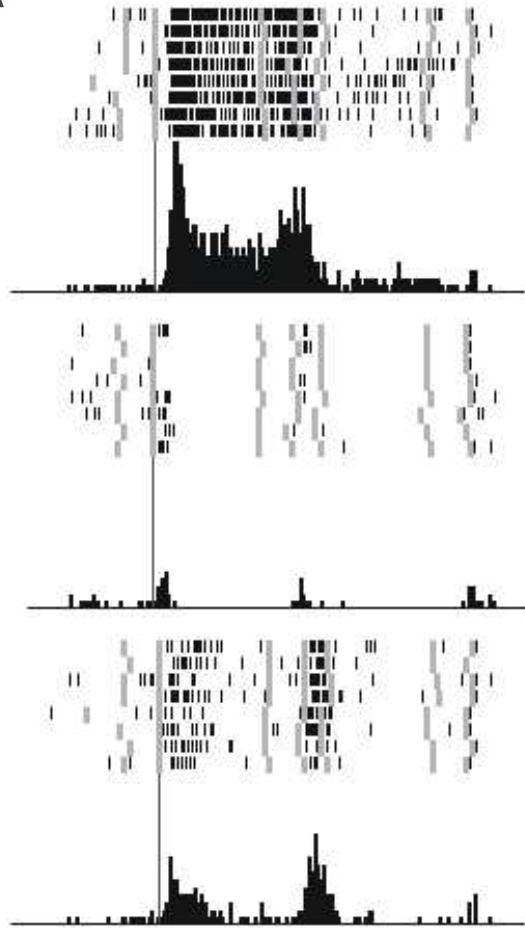


# Grasping neurons



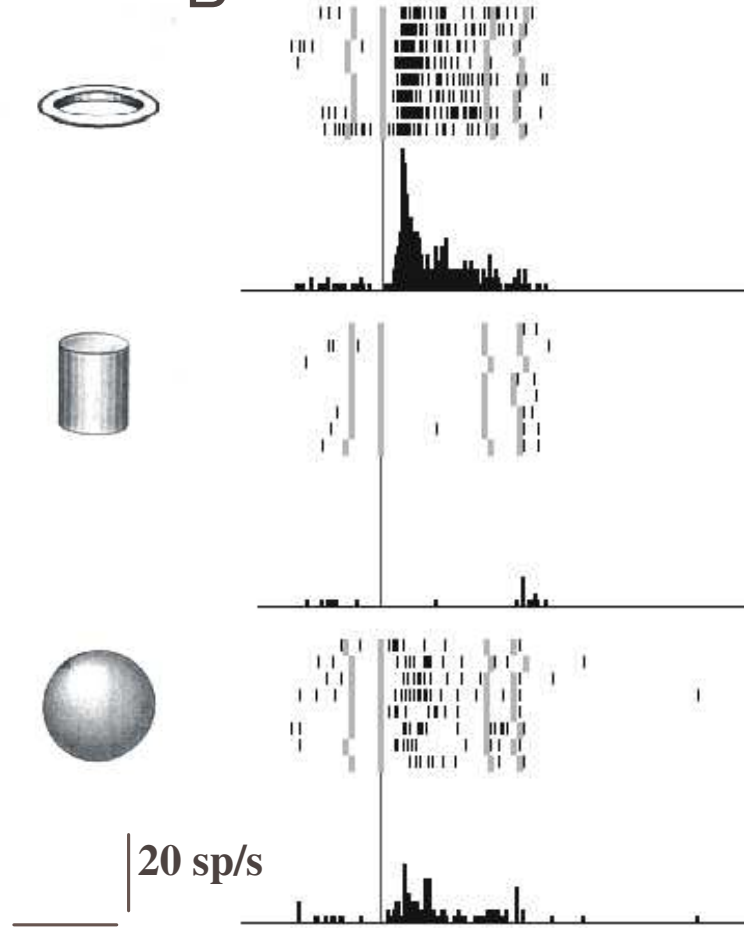
# F5 canonical neurons

A



Observation + action

B

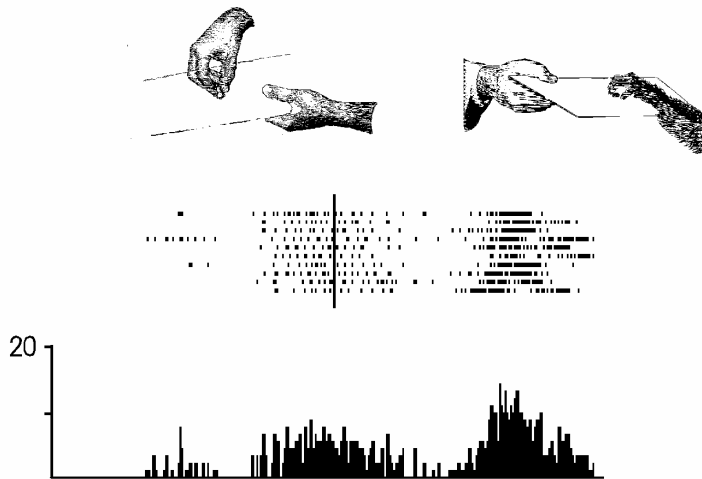


Observation only

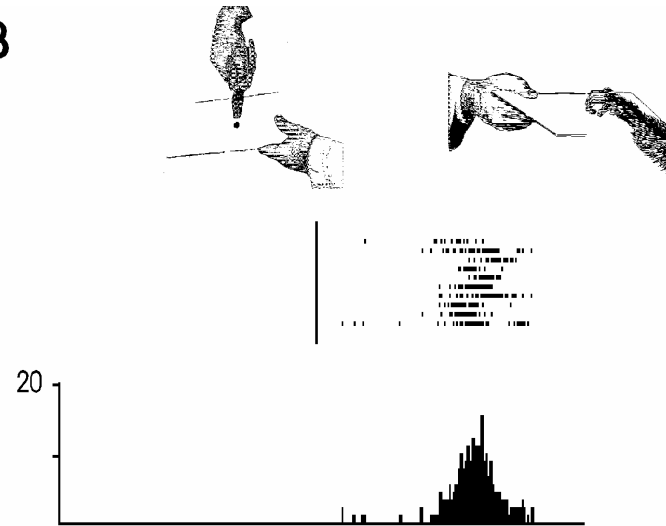
# Mirror Neurons

The neuron is activated by “seeing” someone else’s hand performing a manipulative action **and** while the monkey is performing the same action

A



B

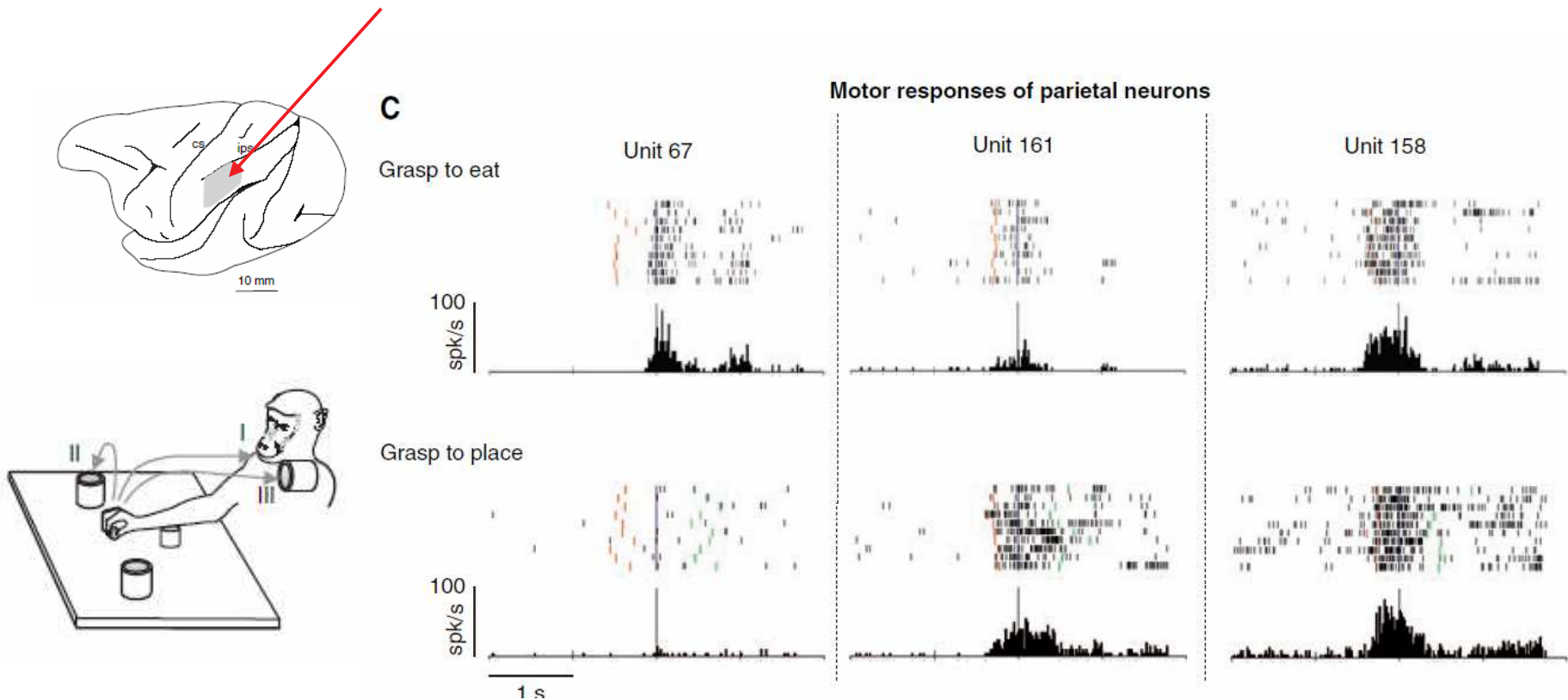


The type of action seen is relevant

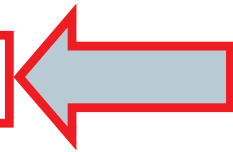
From: Fadiga, L., L. Fogassi, V. Gallese, and G. Rizzolatti, *Visuomotor Neurons: ambiguity of the discharge or "motor" Perception?* *International Journal of Psychophysiology*, 2000. **35**: p. 165-177.

# More mirror neurons

## Parietal Mirror Neurons



From: L. Fogassi, P.F. Ferrari, B. Gesierich, S. Rozzi, F. Chersi, G. Rizzolatti: Parietal Lobe: From Action Organization to Intention Understanding - Science - Vol. 308 pp. 662- 667 - 29 April 2005



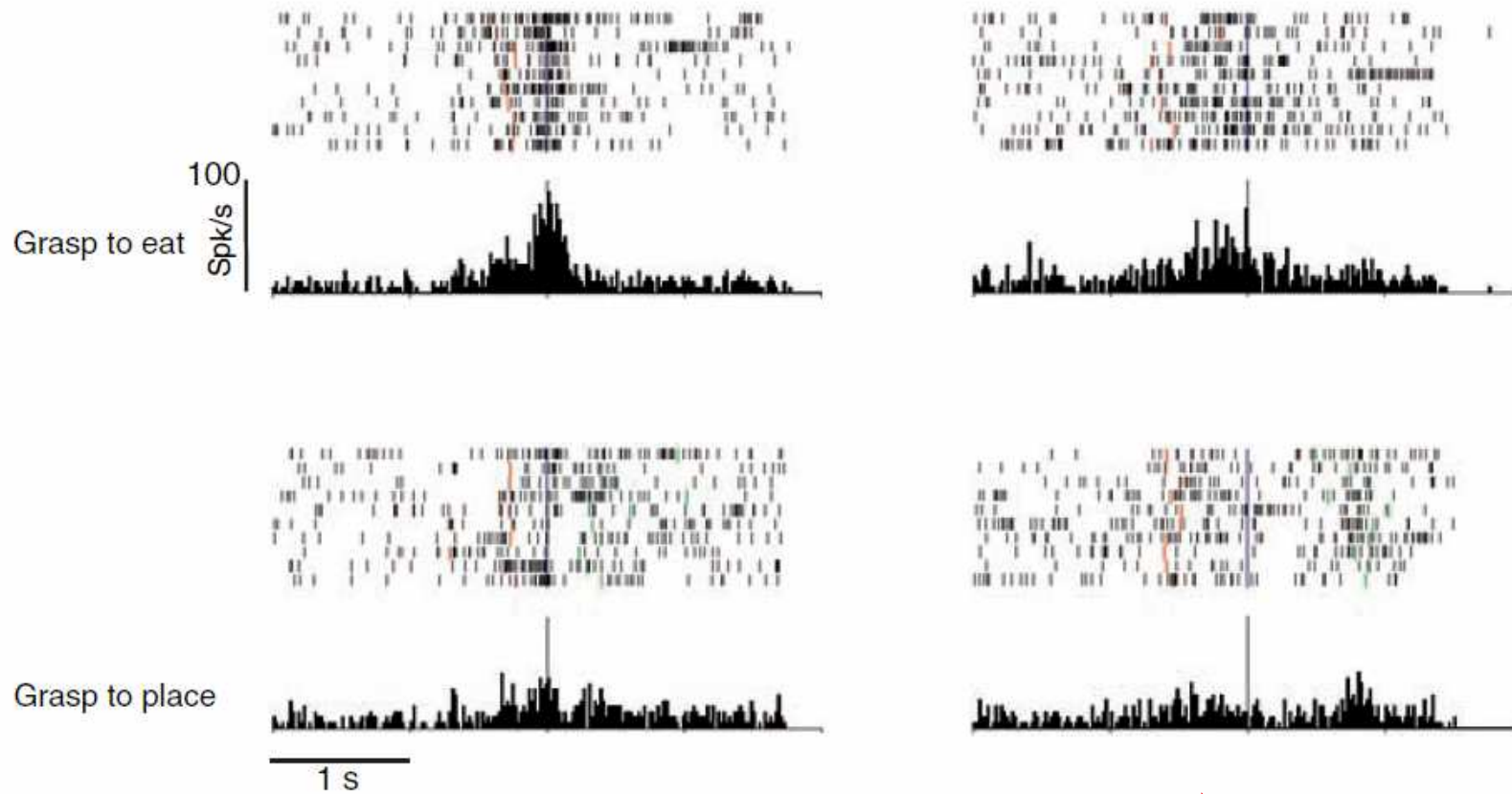
# Neurons code intentions of others

A

Unit 169

Motor response

Visual response



Action made

Action seen

Same Neuron

# Mirror neurons?

|                 |                     |
|-----------------|---------------------|
| Vision          | Acoustic            |
| Manipulation    | Speech              |
| Motor           | Motor               |
| Watching others | Listening to others |

# Summary

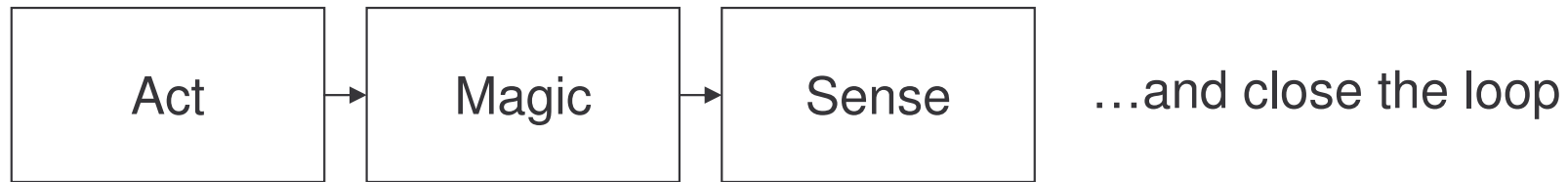
- Complex structure might be required
  - If we want to investigate complex interactions
- Complex skills emerge from the very structure of the motor interface
  - E.g. will mirror neurons be the same without manipulation?
- Interestingly, perceptual skills seem to be intertwined with the motor system
  - E.g. canonical neurons

# One view of the problem





# Another view



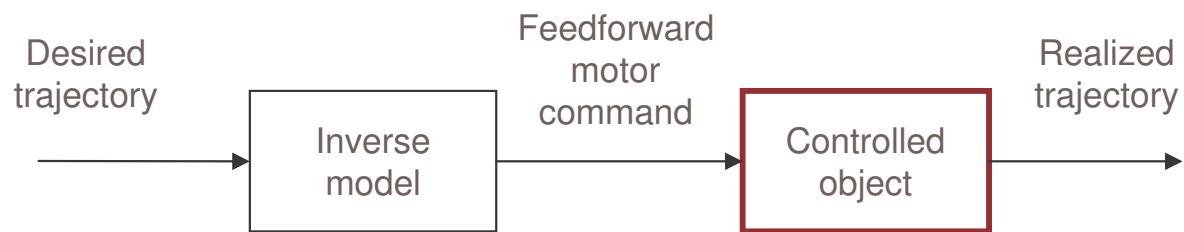
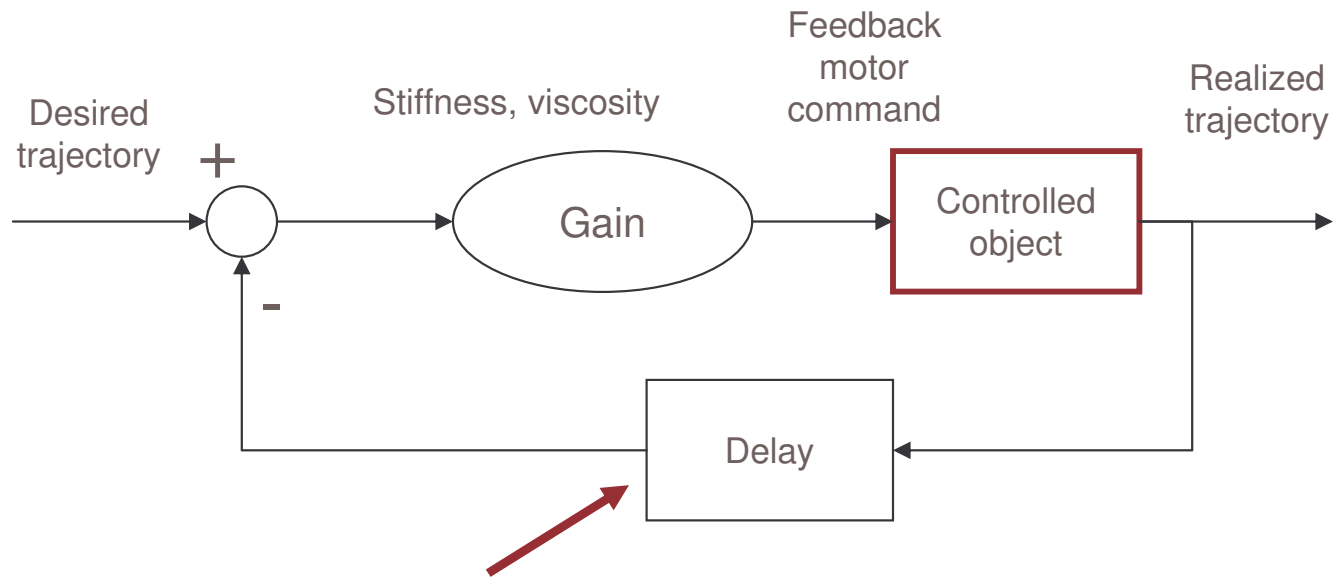
- That is: invert the loop, action first!
- A motto: act to sense (better)
- I'll spend the next 50 slides or so in trying to show that there's something to be gained by taking this view

# Sensory information for:

- **Planning**
  - Forming the desired trajectory/behavior
- **Learning**
  - How to adapt to changes in the physical conditions
- **Prediction**
  - Forecast the outcome of actions
- **State estimation**
  - Recovering the actual body state (position, speed)
- ...suggest that internal models might be required

# Internal models come in two flavors


- **Direct**
  - From motor commands to executed behaviors
    - They can be used to predict the expected outcome of actions
    - ...and to estimate the current state in spite of feedback delays
- **Inverse**
  - From desired behavior to motor commands
    - Given the desired state/behavior, they produce the motor commands required to attain that state/behavior
- A note: Internal models could be either functional mappings (input-output) or dynamical systems (differential equations)

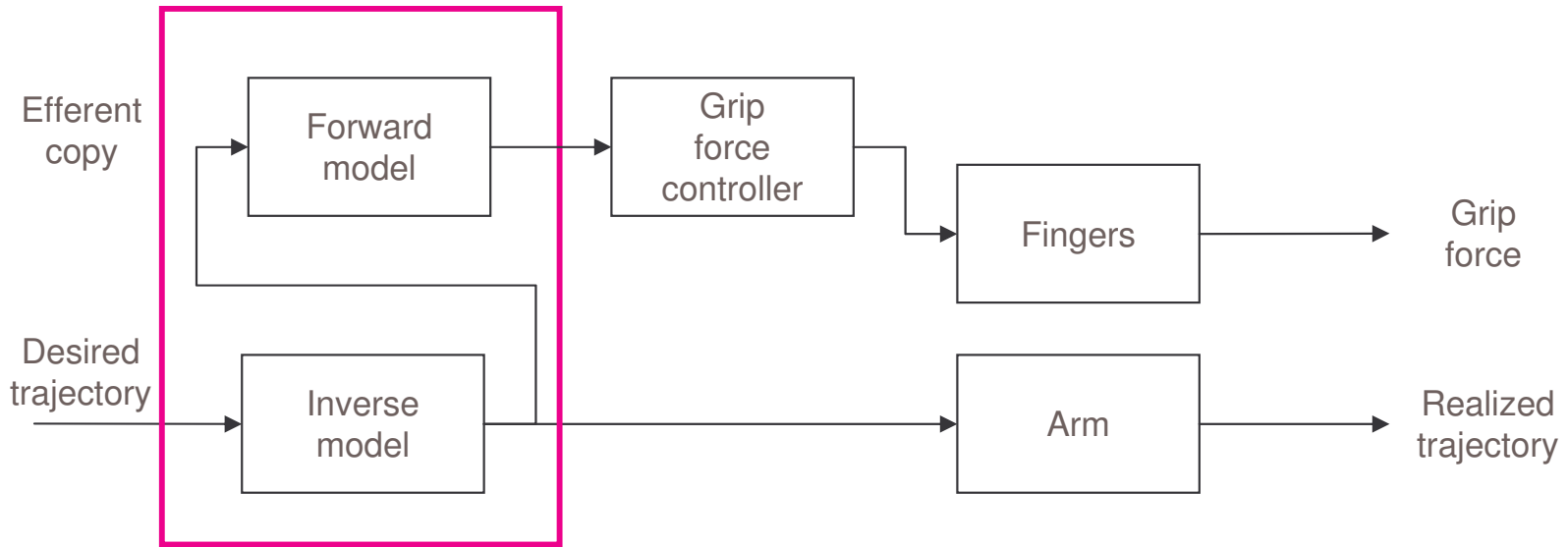
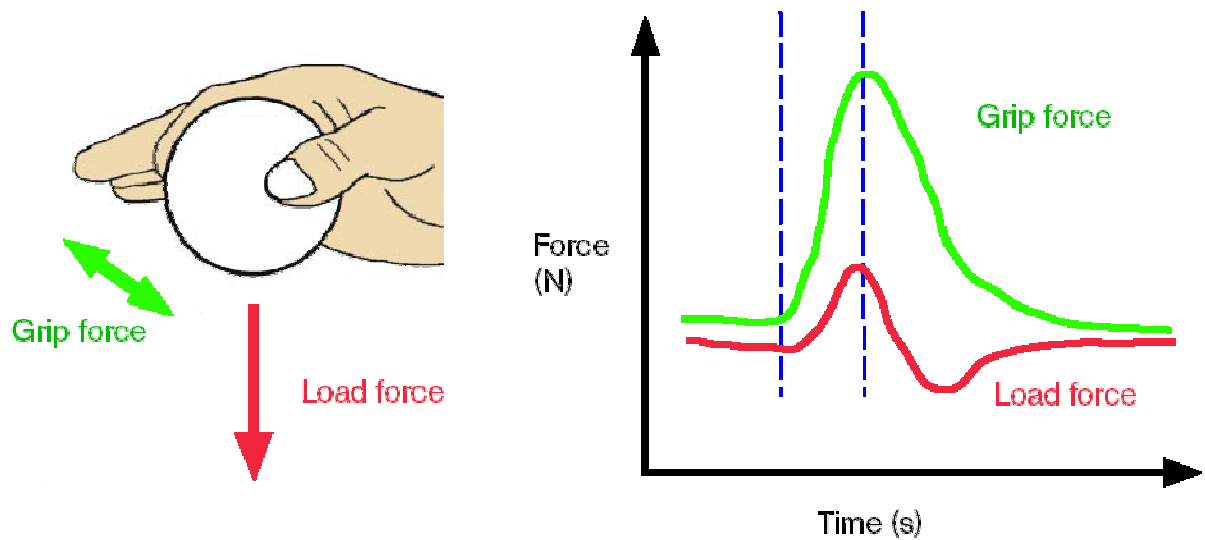


From: M. Kawato. *Internal models for motor control and trajectory planning.*  
 Current Opinion in Neurobiology 1999, 9:718–727

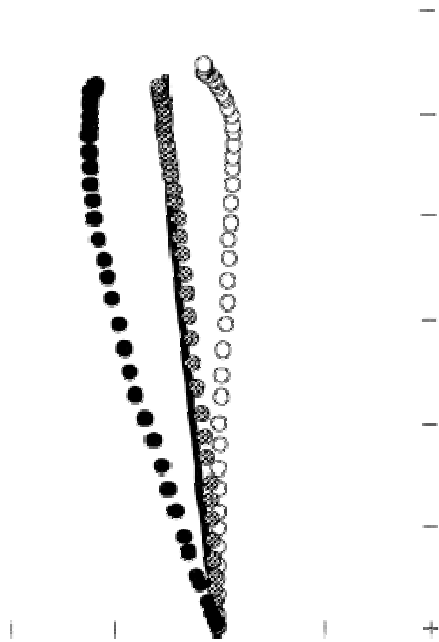
# Effect of delays

Make feedback control either poor or unstable altogether

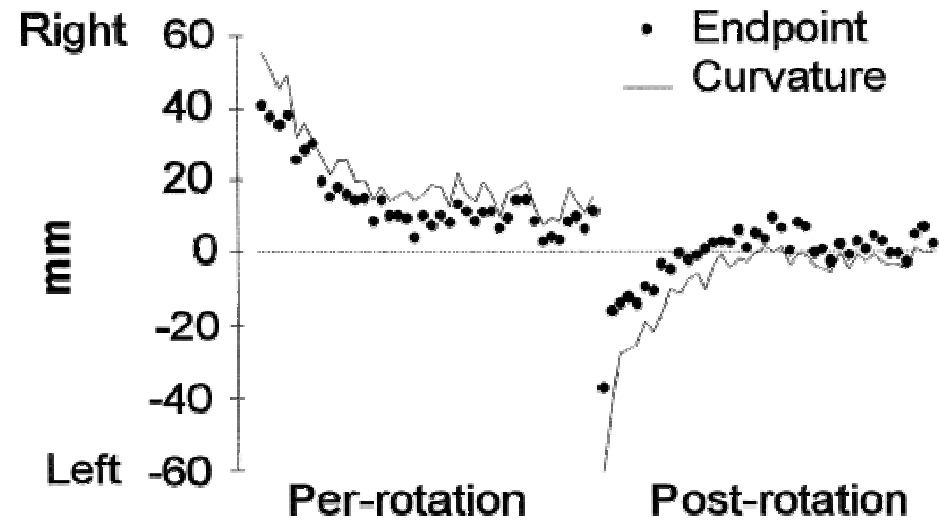
- Engineering control systems
    - Delays: 500 $\mu$ s
    - Movement duration: seconds
    - Gain of the controller: can be made high
  - **BTW:** maintaining fast control loops is not an easy feat
  - Humans
    - Delays:
      - 20-50ms (spinal)
      - 150-250ms (vision)
    - Movement duration: 150-500ms
    - Gain of the controller: stiffness and muscle viscoelastic properties (comparatively low)
- 



**From: Flanagan JR, Wing AM. *The role of internal models in motion planning and control: evidence from grip force adjustments during movements of hand-held loads.* Journal of Neuroscience 1997, 17:1519-1528.**

**A**

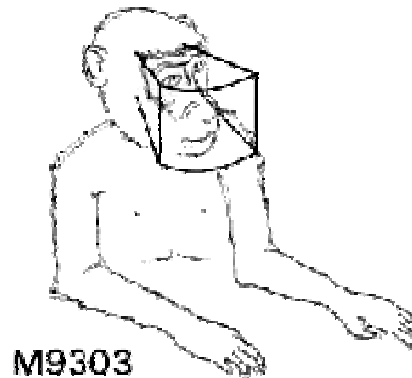
- Pre-rotation
- Per-rotation, Initial
- Per-rotation, Final
- Post-rotation, Initial

**B**

From: Lackner JR, Dizio P. *Gravitoinertial force background level affects adaptation to Coriolis force perturbations of reaching movements.* Journal of Neurophysiology 1998, 80:546-553.

# F4 neurons

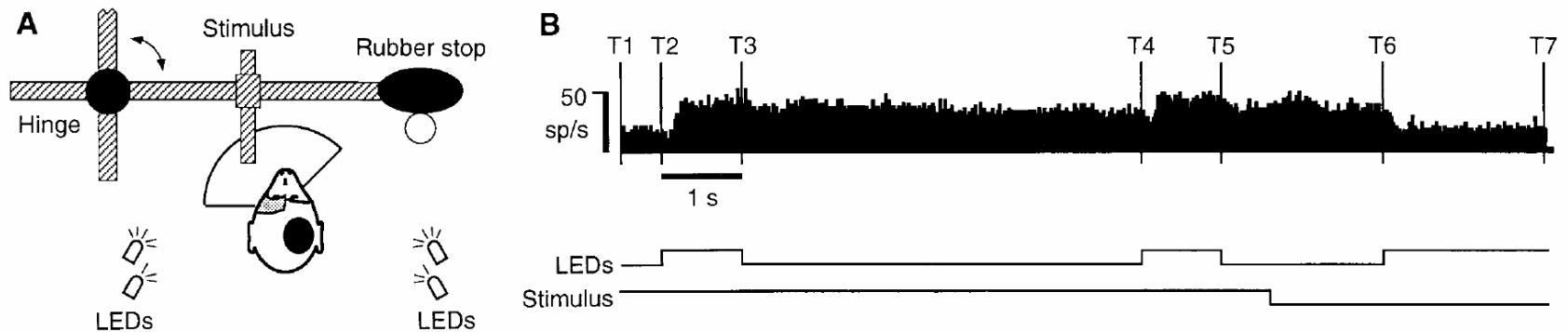
- Visual and tactile responsive neurons.
- Representation of peripersonal space carved out of visual information because of motor activity.
- Receptive field linked to body part.



**From:** Fogassi L., Gallese V., Fadiga L., Luppino G., Matelli M., Rizzolatti G.  
*Coding of peripersonal space in inferior premotor cortex (area F4)*. *Journal of Neurophysiology* **76** (1) 1996.



# Object constancy



T1: in the dark

T2: LEDs on, w/ object

T3: LEDs off

T4: LEDs on

T5: LEDs off, object removed

T6: LEDs on

**From:** Graziano M.S.A., Hu, X., Gross C.G. (1997) *Coding the location of objects in the dark.* Science **277** (July): 239-241.

# Building the internal models

- Rote-learning would be unpractical:
  - Too many possible actions (dof) for the available number of neurons (although they're quite a lot!)
- Generalizing past experience:
  - Past experience is bound (unfortunately) to "represent" only a portion of the whole state space
- Developing and extending the control structure to new behaviors
  - Sequencing and combining primitive behaviors appropriately
- Predicting the future course of action
  - It might turn out to be useful!

# On generalization

Something in between  
Generic components, modularity

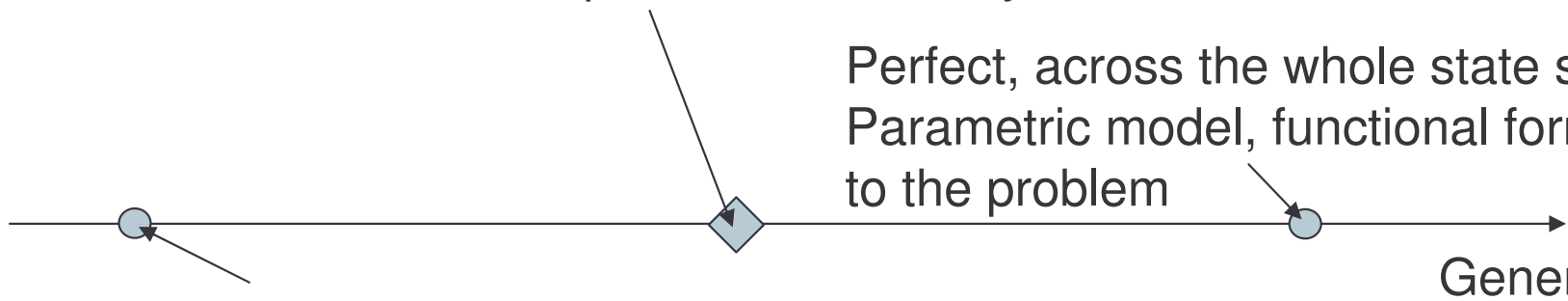
Perfect, across the whole state space  
Parametric model, functional form tuned  
to the problem

Generalization

None, apart from the explored portion  
of the state space  
Lookup table approach

$$e_{generalization} \leq S_{z,H} + A_H$$

VC dimension (complexity of the  
Hypothesis space of functions  $H$ )



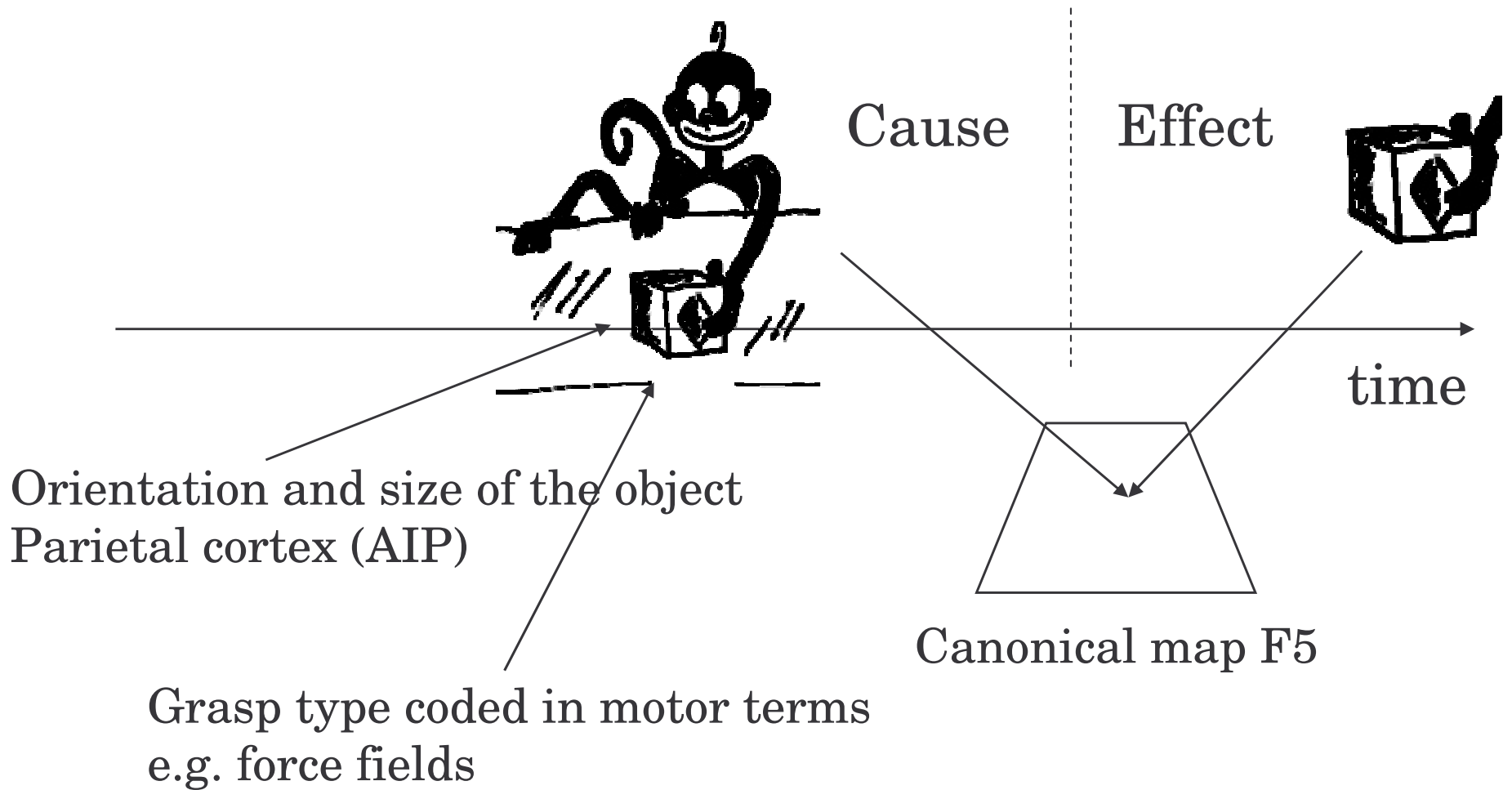
# Remaining questions

- Learning when *the number of samples* is small
  - Like humans do!
- Hierarchical organization, partitioning big problems, reusing components
  - e.g. modular controllers
- **Learning across developmental time scales**
  - May be worth looking at human development
  - Exploiting large quantity of data when available

[Part 3]

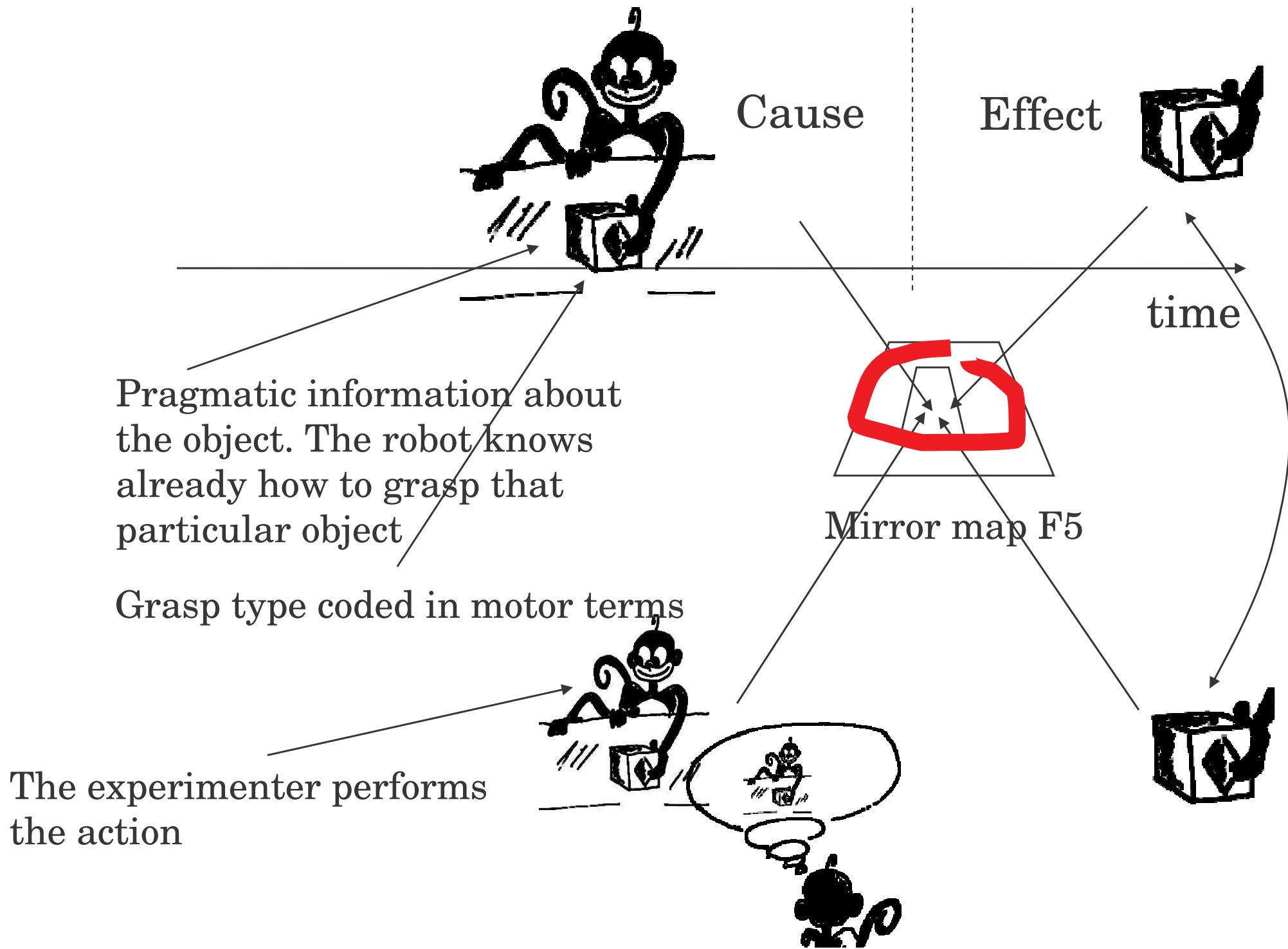
# Model of the mirror neurons system

- **Developmental aspect:** context information specifies the goal - a prerequisite for linking action execution to action observation
  - canonical neurons and the detection of object affordances are a prerequisite for mirror neurons
- **Mirror neuron response:** A feedback system co-opted in recognizing actions
  - action recognition in motor terms



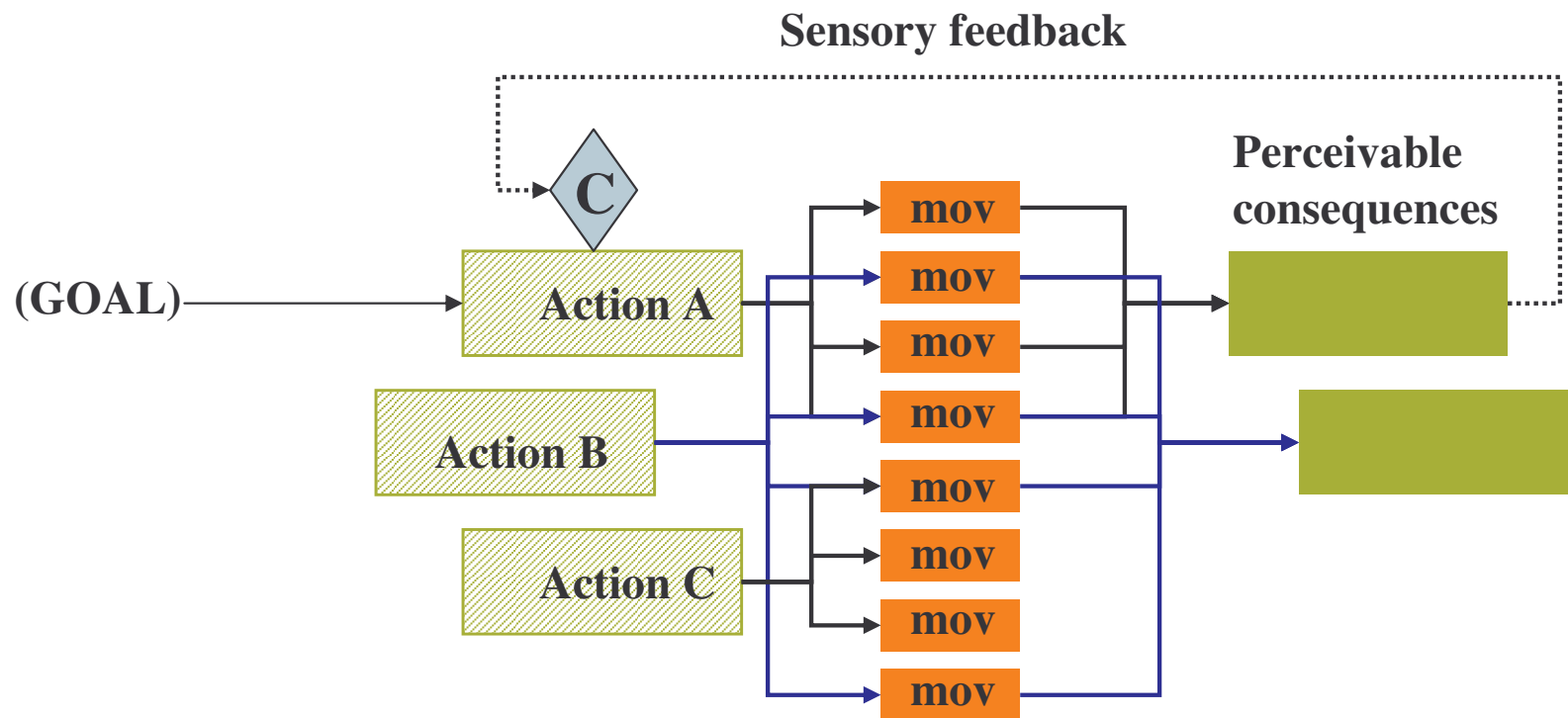
The neural map can be imagined as a table filled with object-grasp type pairs.

The teaching signal is the successful grasping of the object which can be reinforced by both tactile and visual stimuli.





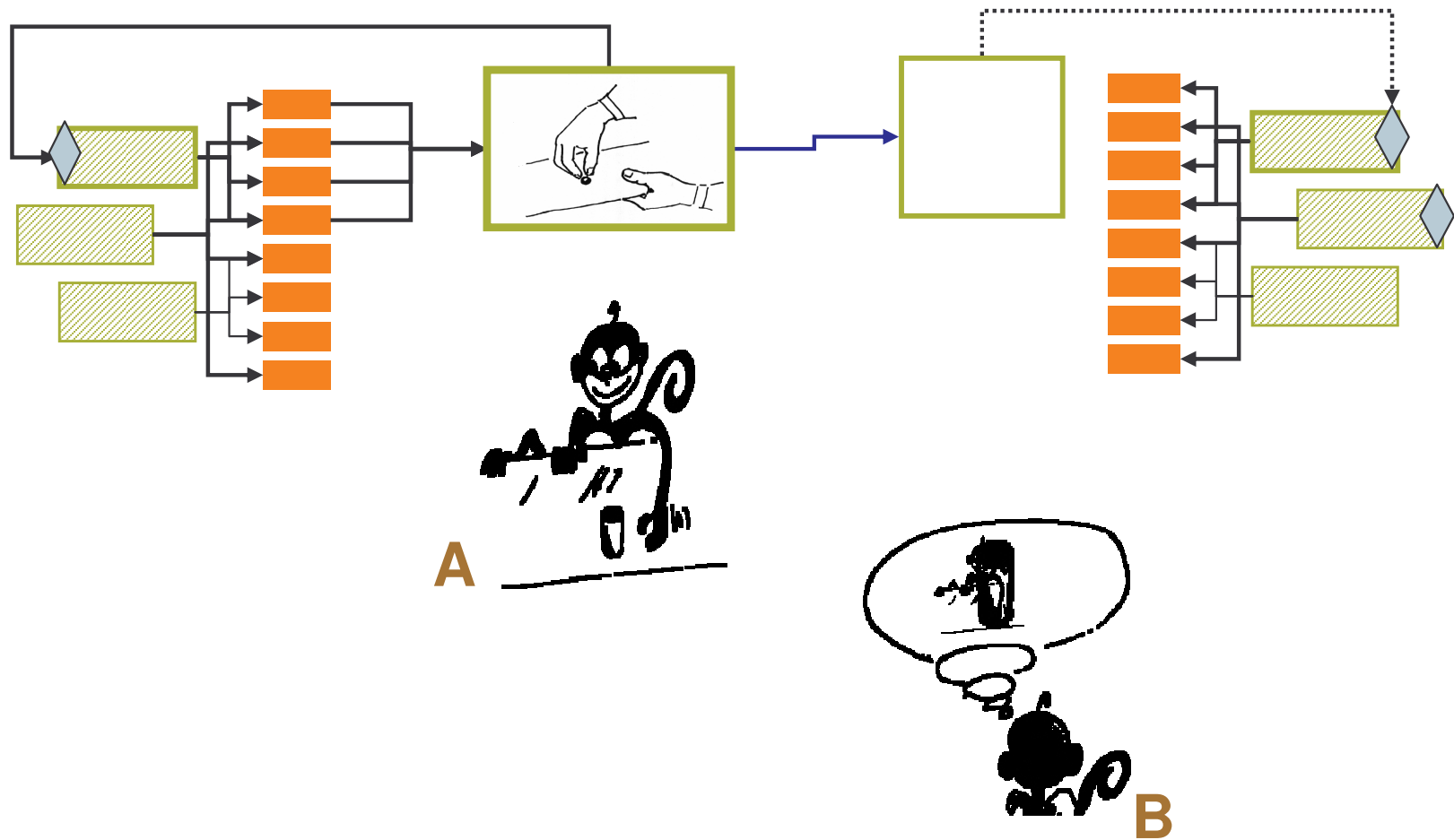
# A cartoon-like explanation...



# Exchange of information

Individual A

Individual B



# F5 neurons

## *Canonical neurons*

Active when manipulable objects are presented visually



## *Mirror neurons*

Active when another individual is seen performing manipulative gestures



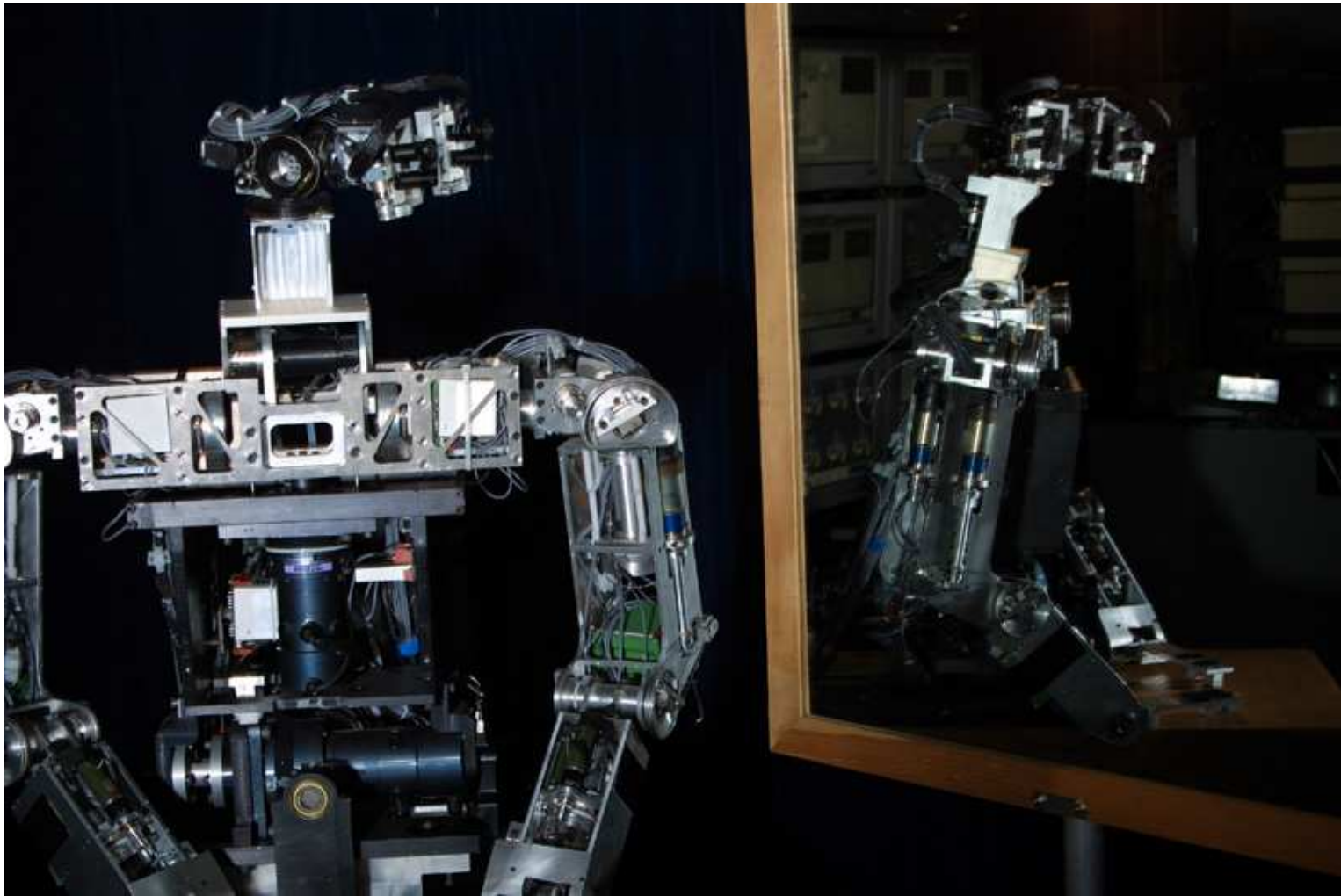
# Development in a two-stage model

- **First:** learn to interact with objects.
  - Manipulate objects.
  - Acquire the motor primitives.
  - Generate the *canonical neurons* representation.
  - Learn by interacting with the environment (the error is measured directly).
- **Second:** learn the *mirror* representation.
  - Correlate the experimenter's action with the performed action (goal).
  - This requires *canonical neurons* to be constructed in advance.

# Simplest Form of Manipulation

- What is the simplest possible manipulative gesture?
  - Contact with object is **necessary**; can't do much without it
  - Contact with object is **sufficient** for certain classes of affordances to come into play (e.g. rolling)
  - So can use various styles of poking/prodding/tapping/swiping as basic manipulative gestures
  - (if willing to omit the *manus* from manipulation...)

# Experimental setup...



A bit more lively...



# Objects come to existence because they are manipulated



*Fixate target*

*Track visual motion...*

*(...including cast shadows)*

*Detect moment of impact*

*Separate arm, object motion*

*Segment object*



Which edge should be considered?

Maybe some cruel grad-student glued the cube to the table

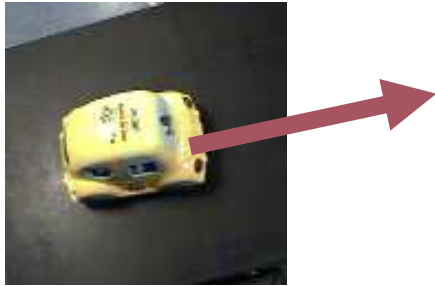
Color of cube and table are poorly separated

by Paul Fitzpatrick

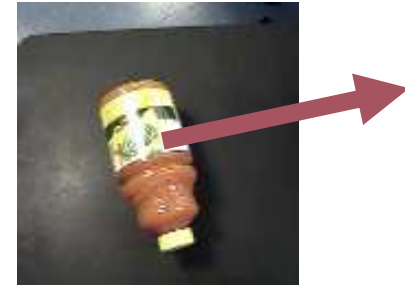
Cube has misleading surface pattern



# Exploring an affordance: rolling



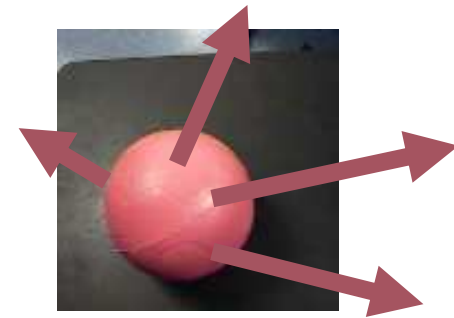
A toy car: it rolls in the direction of its principal axis



A bottle: it rolls orthogonal to the direction of its principal axis



A toy cube: it doesn't roll, it doesn't have a principal axis



A ball: it rolls, it doesn't have a principal axis

# Gesture "vocabulary"

pull in



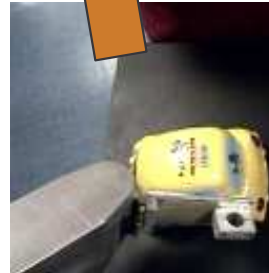
side tap



push away



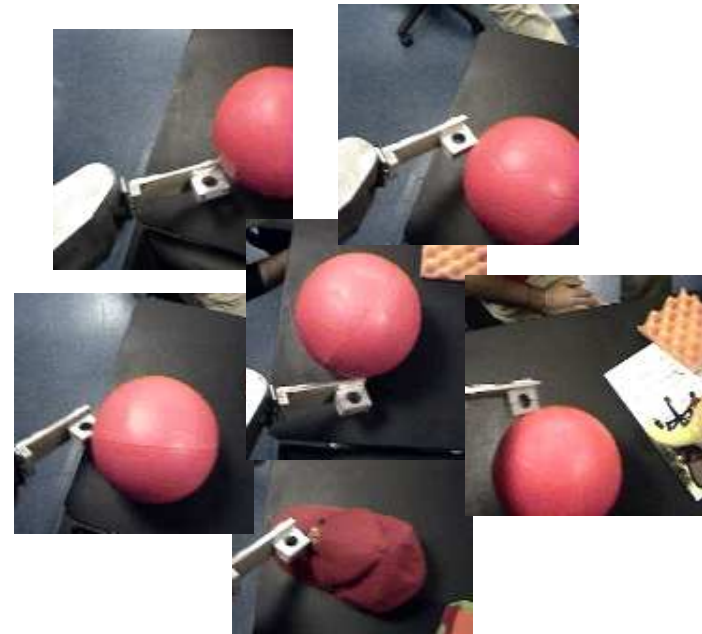
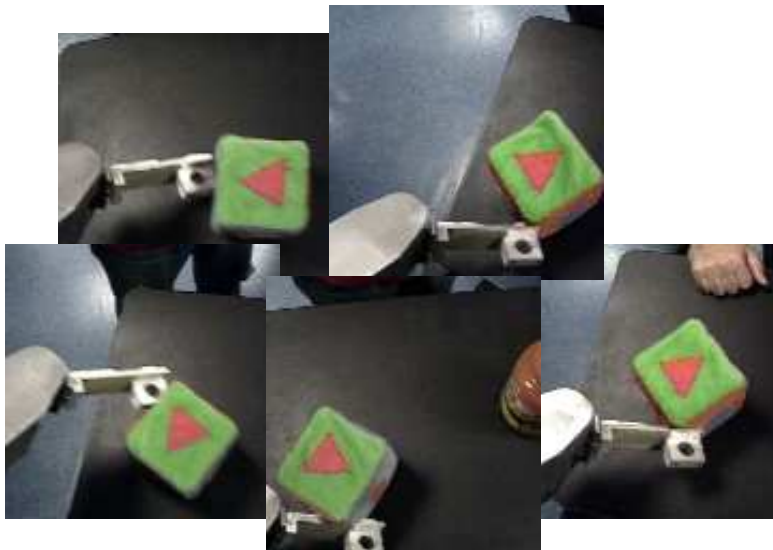
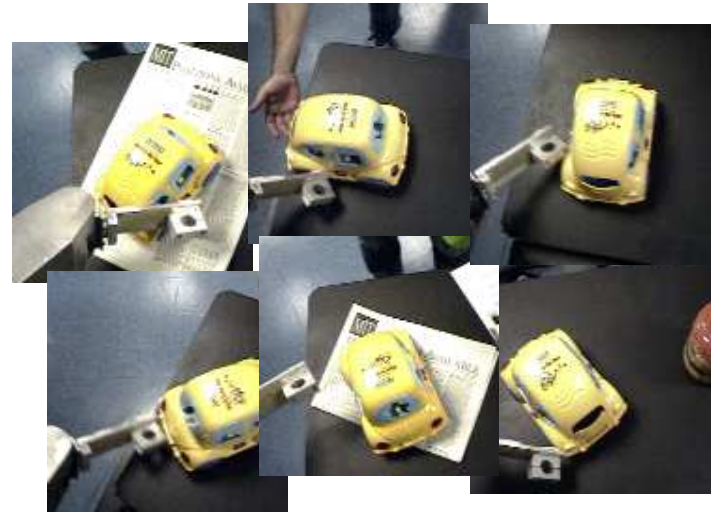
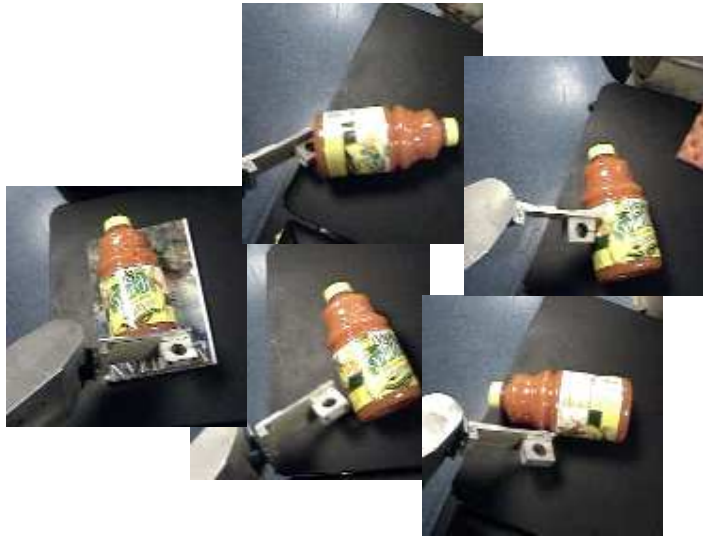
back slap



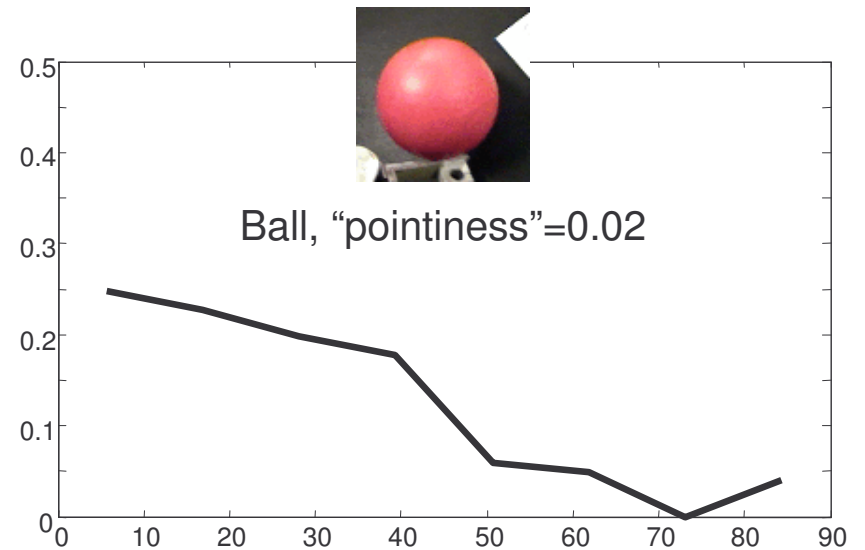
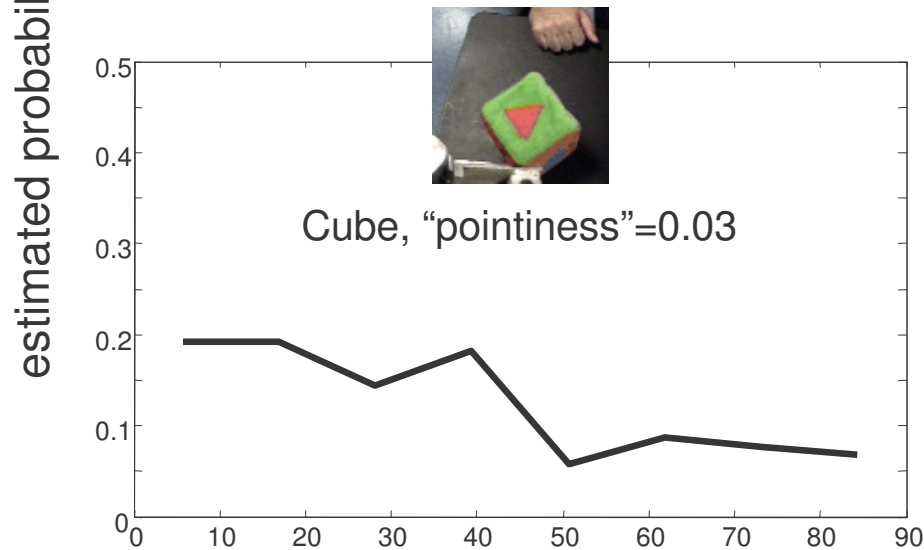
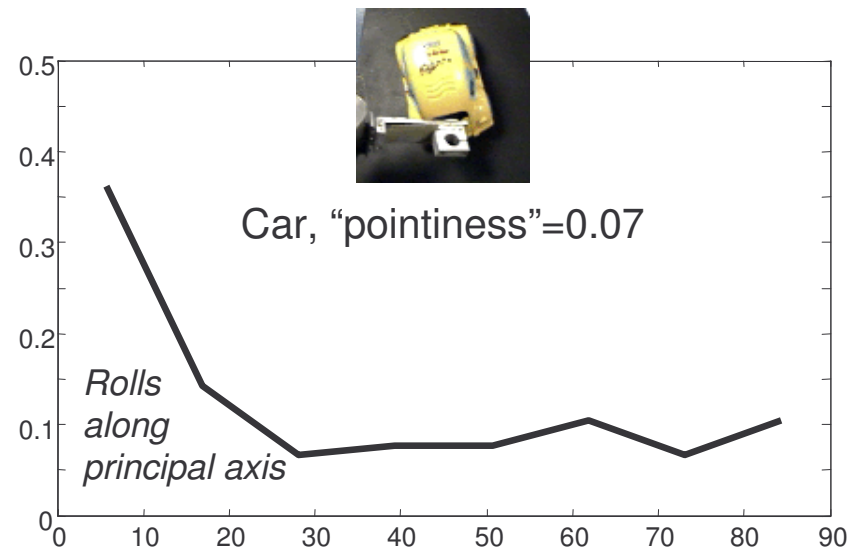
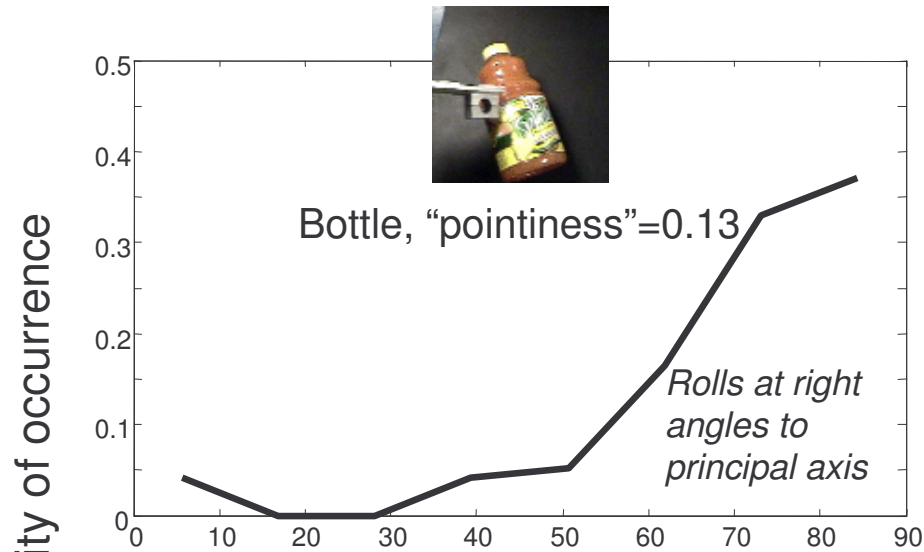
# Exploring an affordance: rolling



# Forming object clusters

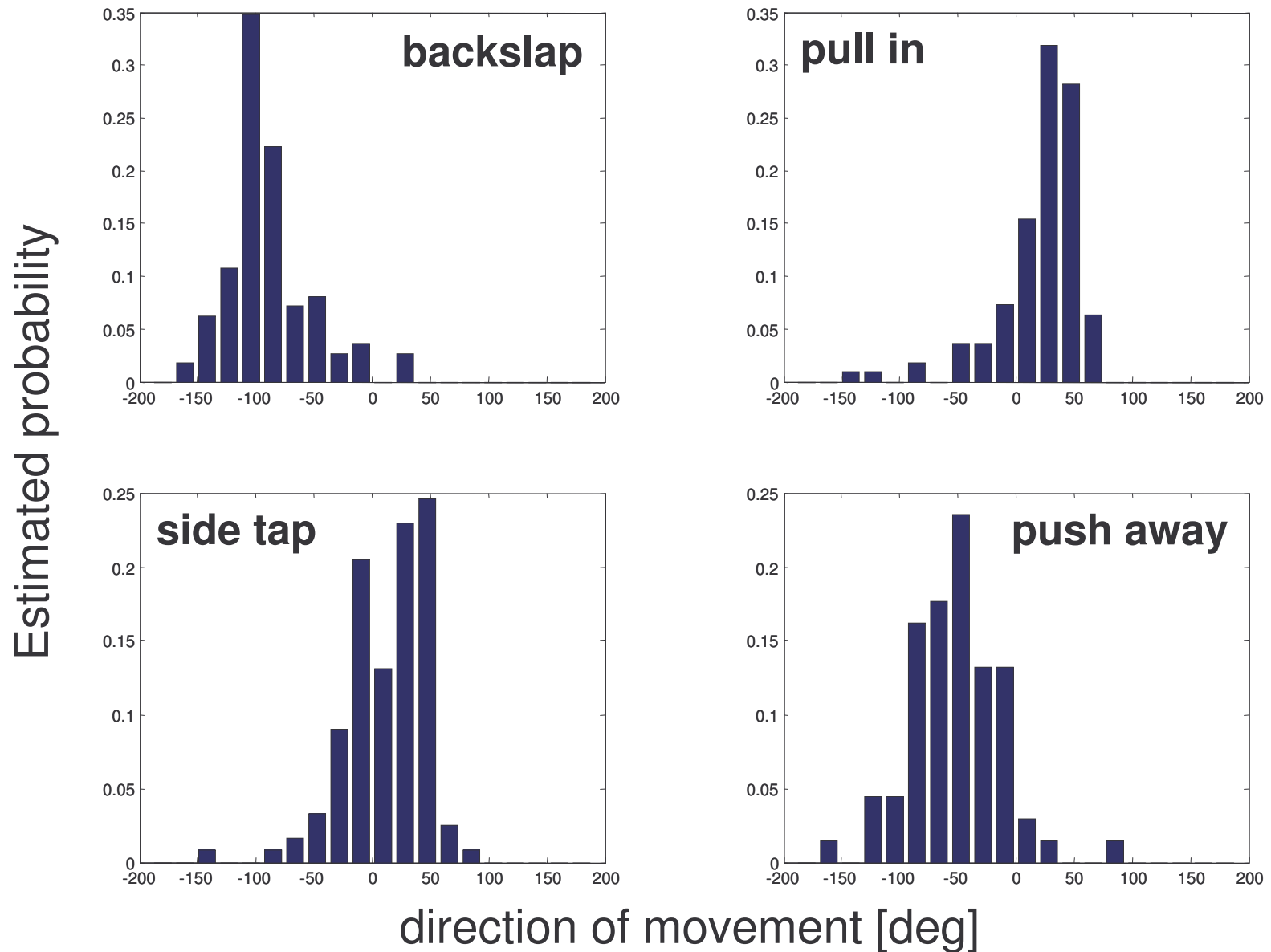


# Into object affordances...

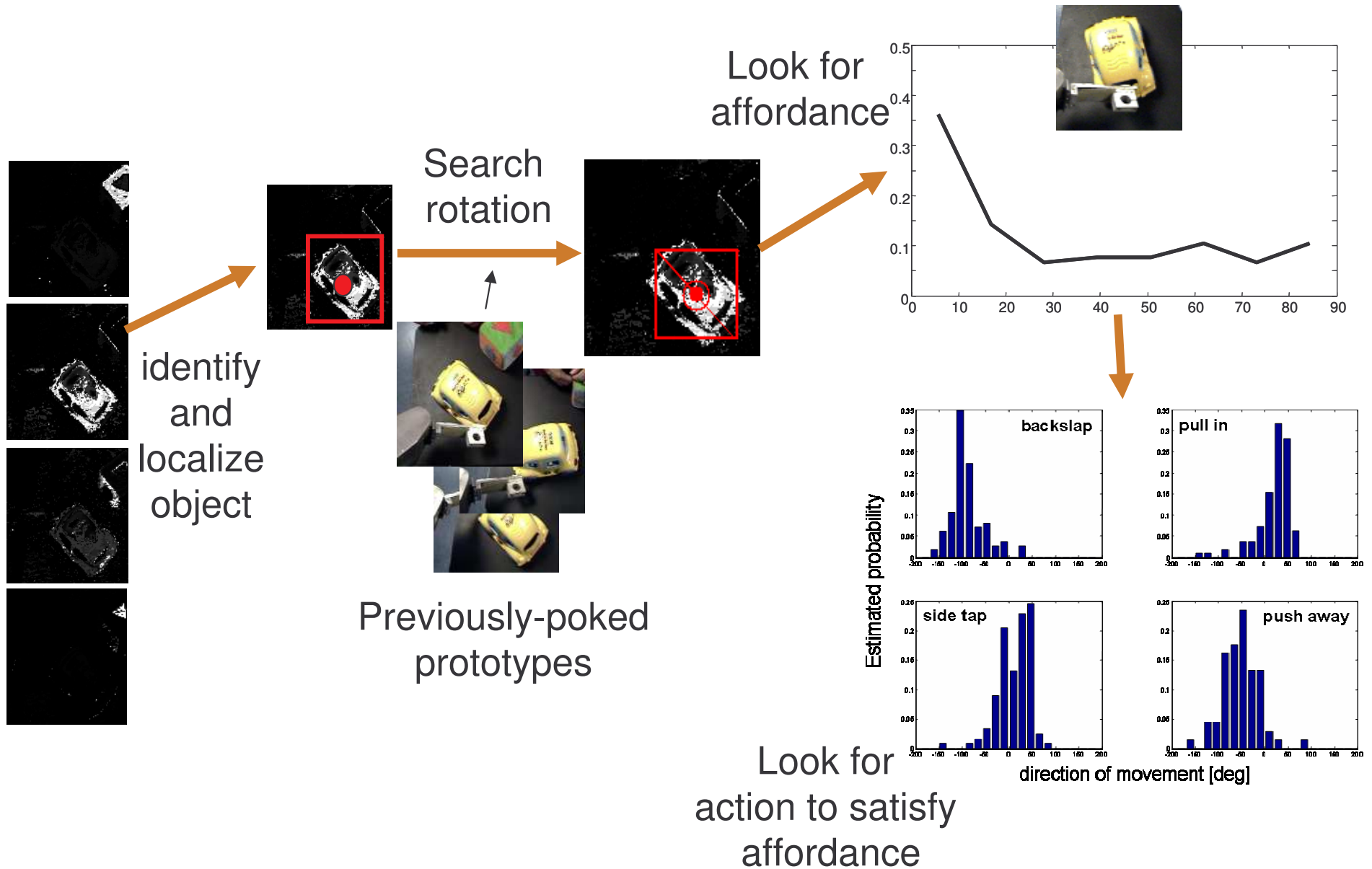


difference between angle of motion and principal axis [degrees]

# The geometry of poking



# Behavior: poking according to affordance

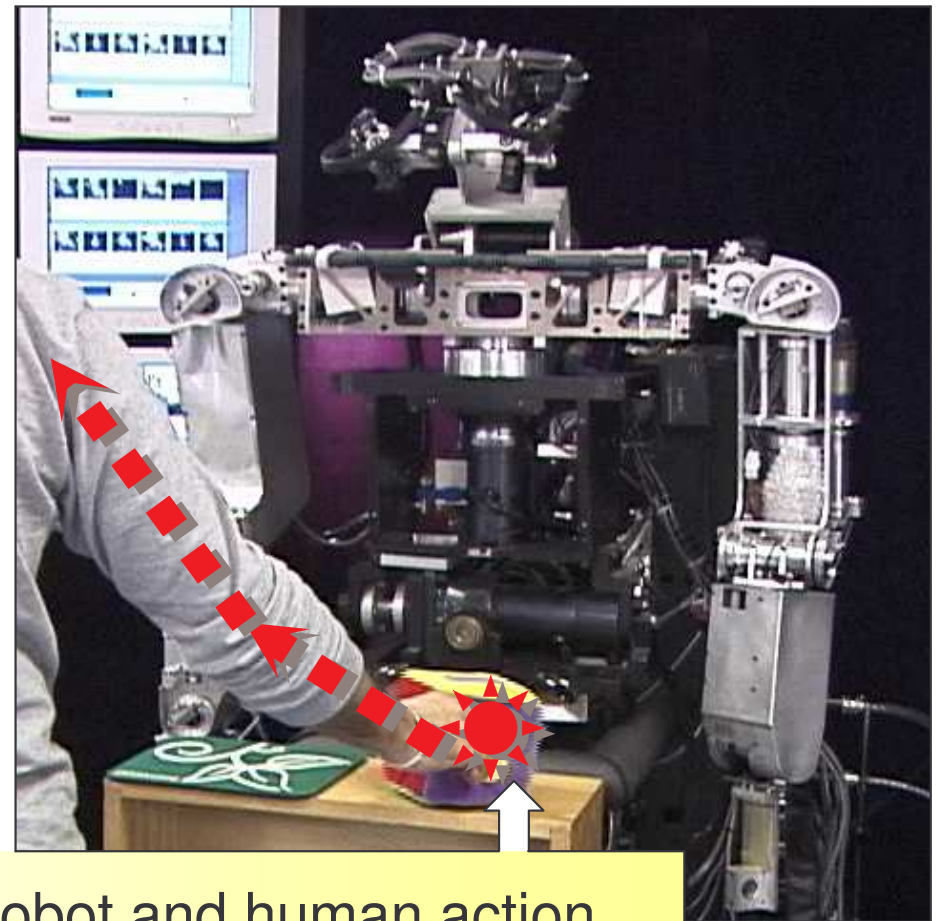
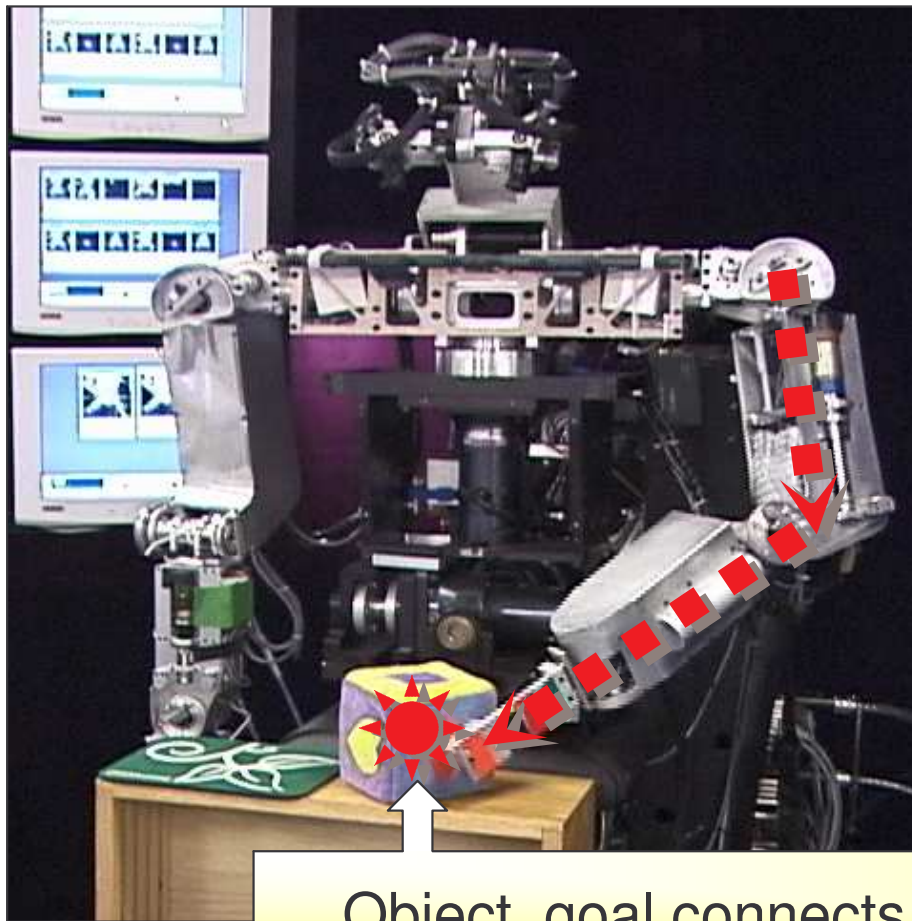


Behavior: poking according to  
affordance





# Understanding a foreign manipulator

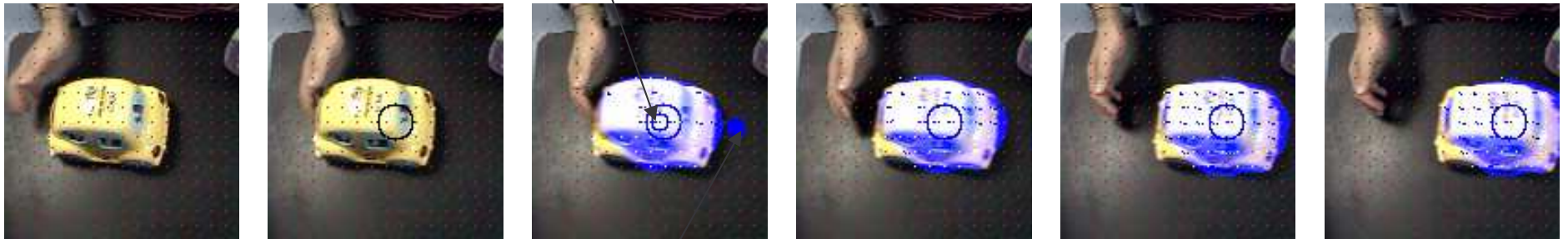


Object, goal connects robot and human action

# Interpreting observations

“The robot could actually tell this was a side tap”

Initial position



Final position

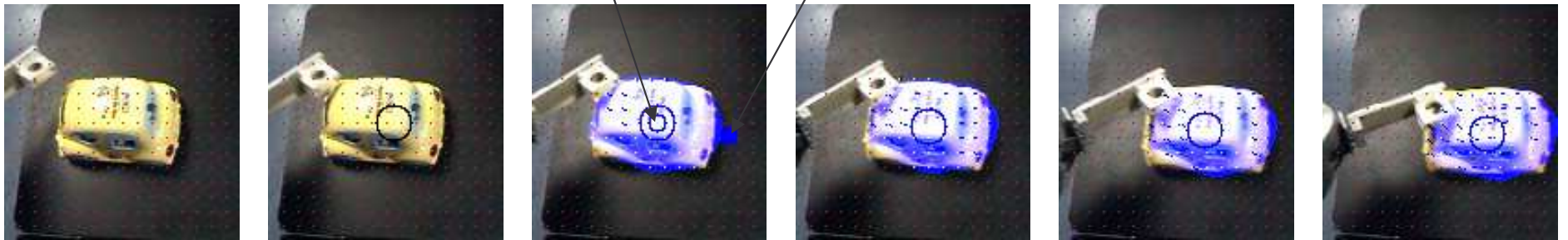
A foreign manipulator (human) pokes an object  
The direction of movement is compared with the object affordance

# Behavior: mimicry

Initial position

Final position

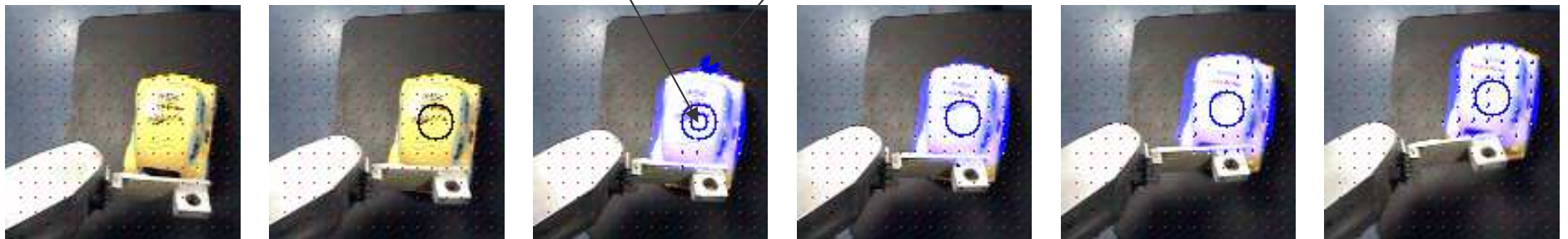
Example 1



Initial position

Final position

Example 2



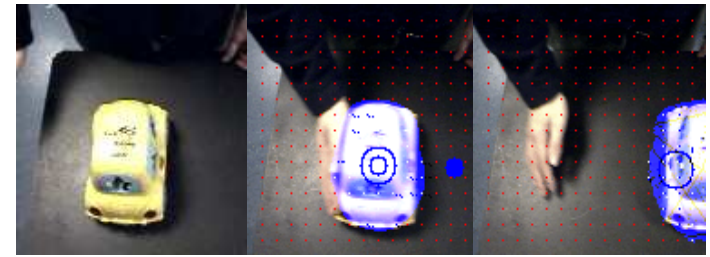
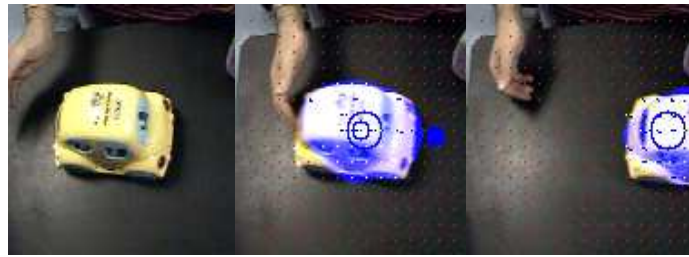
The robot mimics the observed action trying to fulfill the goal rather than an actual movement

# Interpreting observations

Invoking the object's natural rolling affordance

Going against the object's natural rolling affordance

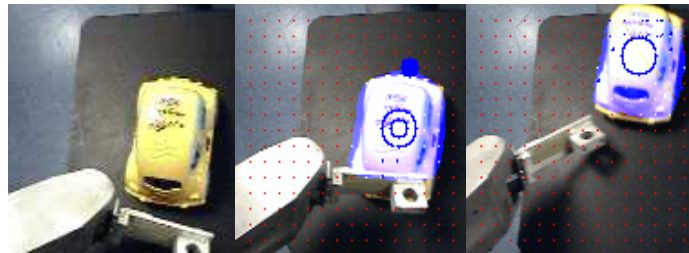
Demonstration by human



Mimicry in similar situation



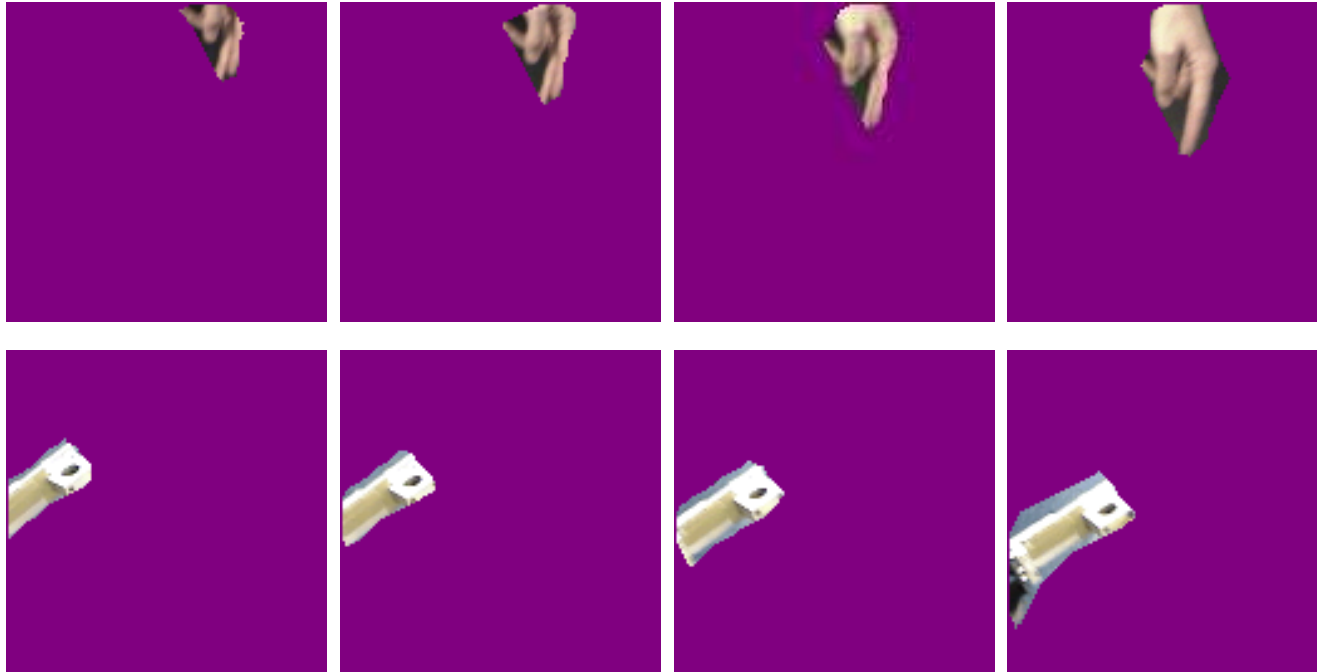
Mimicry when object is rotated



# Mimicry



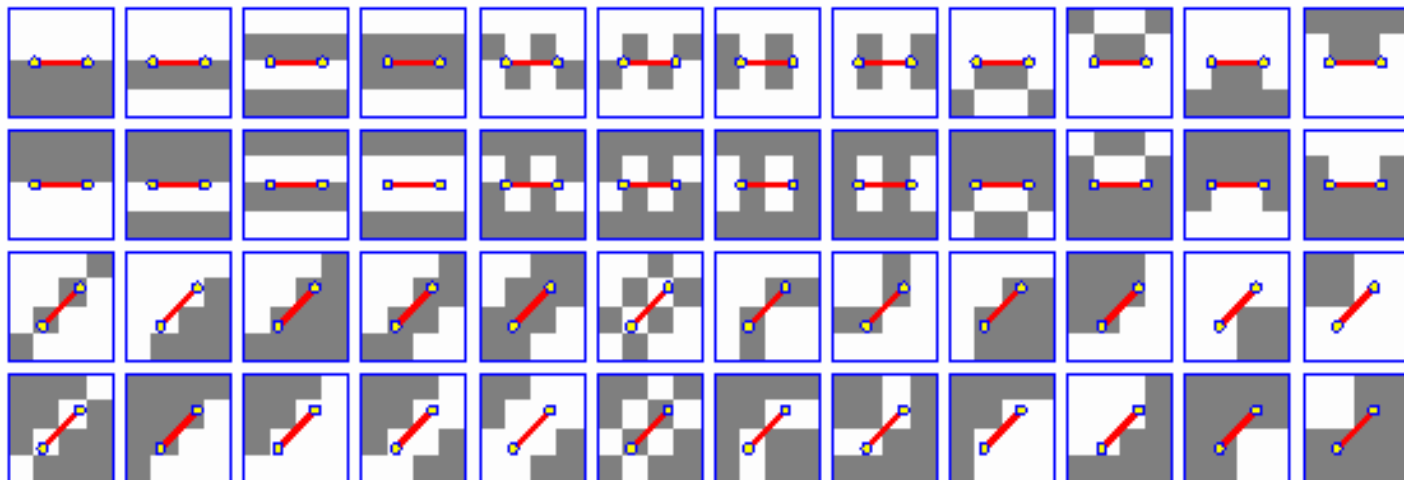
# Manipulators...



For details: Fitzpatrick 2003, From First Contact to Close Encounters:  
A Developmentally Deep Perceptual System for a Humanoid Robot

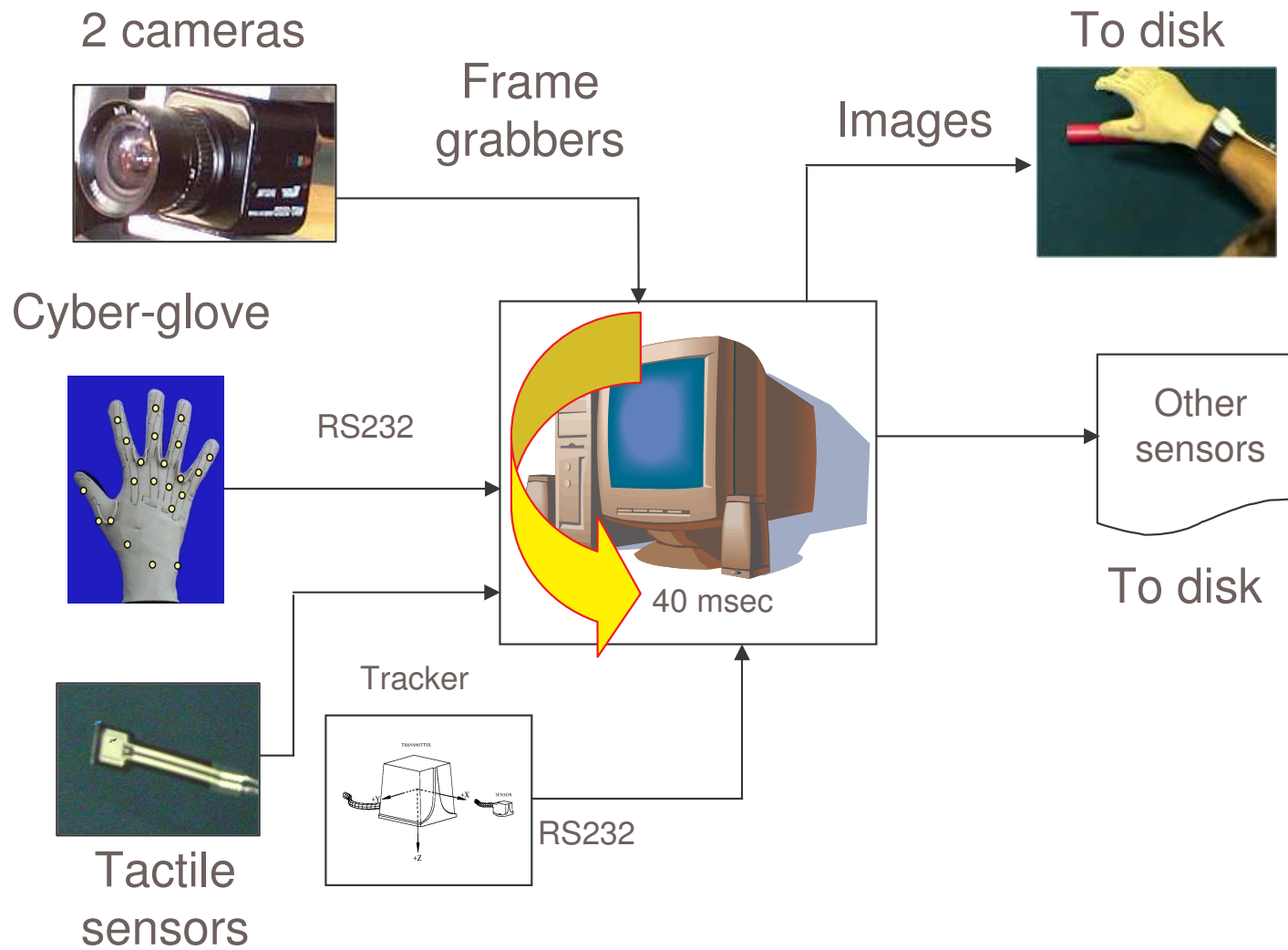
# Bootstrap better vision

- Benefit of manipulation are non-trivial
  - e.g. training edge detectors



For details: Fitzpatrick 2003

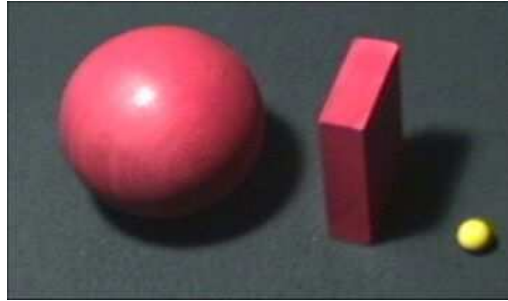
# Data from human grasping





# Bayesian classifier

$\{G_i\}$ : set of gestures  
**F**: observed features  
 $\{O_k\}$ : set of objects

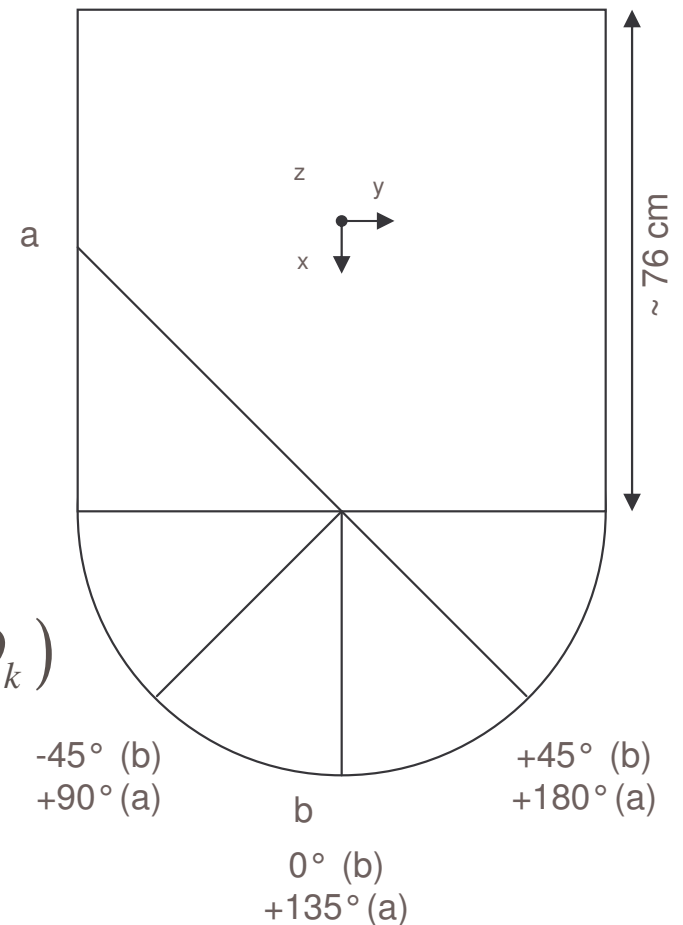


$p(G_i/O_k)$ : priors (affordances)  
 $p(\mathbf{F}/G_i, O_k)$ : likelihood to observe  
**F**

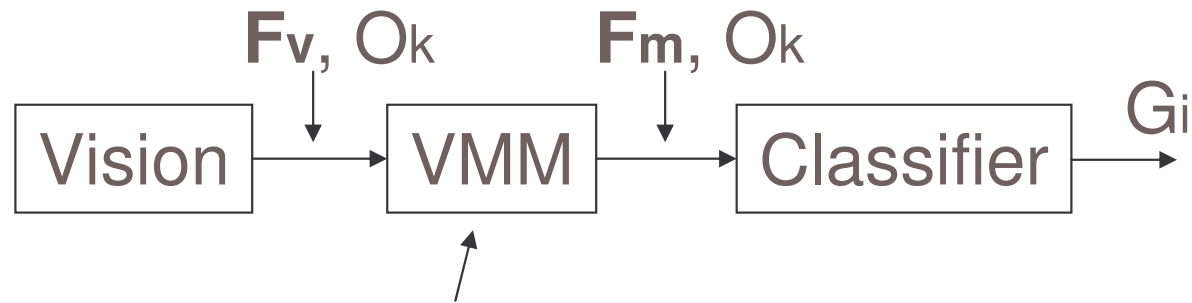
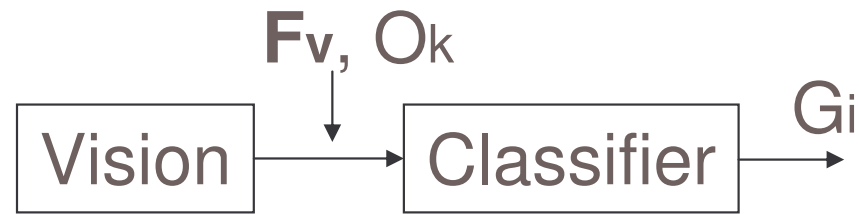
$$p(G_i | \mathbf{F}, O_k) = p(\mathbf{F} | G_i, O_k) p(G_i | O_k) / p(\mathbf{F} | O_k)$$

$$\hat{G}_{MAP} = \arg \max_{G_i} (G_i | \mathbf{F}, O_k)$$

168 sequences per subject  
 10 subjects  
 6 complete sets



# Two types of experiments

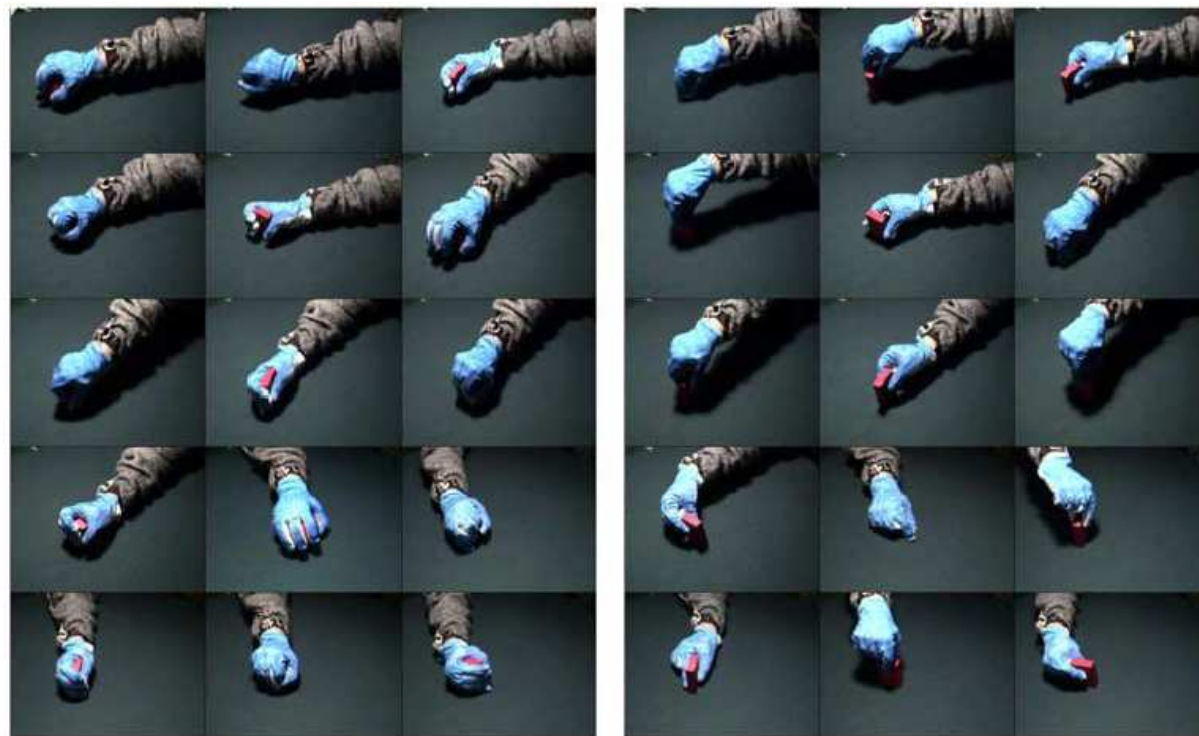


Learned by backpropagation ANN

# Estimation

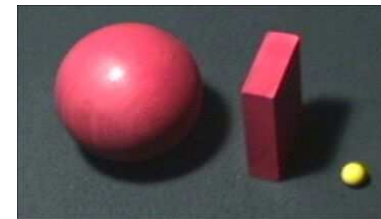
- $p(G_i|O_k)$ : affordances, by counting, estimated on the whole database
- $p(F|G_i,O_k)$ : EM algorithm on the parameters of a mixture of Gaussians (from Matlab implementation)
- VMM: Neural network, sigmoidal activation units, linear output, trained on the whole database

# Role of motor information in action understanding

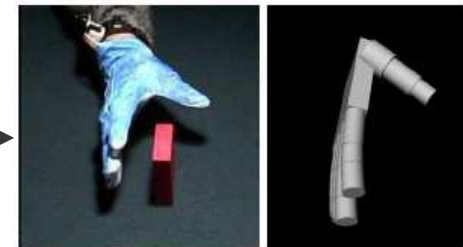


Grasping actions

Object affordances (priors)



Visual space    Motor space



Classification  
(recognition)

Understanding mirror neurons: a bio-robotic approach. *G. Metta, G. Sandini, L. Natale, L. Craighero, L. Fadiga*. *Interaction Studies*. Volume 7 Issue 2. 2006

# Some results...

|                     | Exp. I<br>(visual) | Exp. II<br>(visual) | Exp. III<br>(visual) | Exp. IV<br>(motor) |
|---------------------|--------------------|---------------------|----------------------|--------------------|
|                     | Training           |                     |                      |                    |
| # Sequences         | 16                 | 24                  | 64                   | 24                 |
| # of view points    | 1                  | 1                   | 4                    | 1                  |
| Classification rate | 100%               | 100%                | 97%                  | 98%                |
| # Features          | 5                  | 5                   | 5                    | 15                 |
| # Modes             | 5-7                | 5-7                 | 5-7                  | 1-2                |
|                     | Test               |                     |                      |                    |
| # Sequences         | 8                  | 96                  | 32                   | 96                 |
| # of view points    | 1                  | 4                   | 4                    | 4                  |
| Classification rate | 100%               | 30%                 | 80%                  | 97%                |

# Pre-conclusion

[such tools] permit the [users] to do the tasks that need to be done while doing the kinds of things people are good at: recognizing patterns, modeling simple dynamics of the world, and manipulating objects in the environment. (Hutchins, 1995).