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From behaviour-based robots to motivation-based robots

Riccardo Manzotti*, Vincenzo Tagliasco

*Laboratory for Integrated Advanced Robotics (LIRA-Lab), Department of Communication, Computer and System Sciences,
University of Genoa, Viale Causa 13, Genoa I-16145, Italy*

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Abstract

The appearance on the market of entertainment robots for children and families has ipso facto created the new category of motivation-based robots. A taxonomy of the architectures of different robot categories is proposed. The architecture of motivation-based robots is phylogenetic and ontogenetic. A tentative architecture for a specific experimental setup is described. The results of the experiment show that a new motivation arises from the interaction between the robot and the environment. Motivation-based robots equipped with ontogenetic architecture might provide the foundation for a new generation of robots capable of ontogenetic development.

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1. Introduction

Manufacturers design entertainment robots capable of interacting with humans. This interaction occurs at several levels: from the selection of a set of in-built behaviours to the capability of being independent and acting on its own. Entertainment robots learn actions through touch sensors, switches and voice recognition modules. The most sophisticated robots are said to develop unique personalities through the interaction with

a specific environment. Robots that go through a series of development phases (real or simulated from toddler, to child, to adult) appeal to consumers. Moreover, robots must show emotions like happiness, sadness, anger and surprise, in different degrees. Entertainment robots must be curious and must be able to explore their surroundings on their own: these robots develop in relation to their personal history. We define this class of robots as motivation-based robots because they aim at re-creating the motivational structure of biological beings. The time has now come to move from behaviour-based robots [1] to motivation-based robots [2–4].

Recently, in neuroscience and robotics, the problem of what motivation is has been investigated in the more general framework of what a subject is [5,6]. We

* Corresponding author. Tel.: +39 010 353 2817/3478257675; fax: +39 010 353 2948.

E-mail addresses: manzotti@dist.unige.it (R. Manzotti), vincenzo@dist.unige.it (V. Tagliasco).

42 propose an engineering approach to create motivations
43 in robots that does not require such a broad frame-
44 work. Behaviour-based robots make use of fixed “mo-
45 tivations” hardwired in their structure at design time
46 [7–9]. Even systems that are capable of learning new
47 behaviours must pursue a target of some kind pro-
48 grammed at design time; for instance, if a robot has
49 to learn to reach a given target with its arm, it will learn
50 to move according to a predefined “motivation”. On
51 the contrary, the motivation-based robots must be able
52 to perform actions driven by motivations which they
53 did not possess at design time, but which they have
54 developed by interacting with the environment.

55 For instance, an “intelligent” electronic device like
56 a last-generation digital photo camera performs a long
57 list of “intelligent” tasks: it selects the best program
58 depending on the light, it applies a complex procedure
59 for each program in order to select the right exposure,
60 the right focus and a long list of related parameters. It
61 modifies its behaviour on the basis of the environmen-
62 tal conditions in order to optimise the end result. Yet,
63 notwithstanding what has been stored in its internal
64 memory during a long journey, its behaviour does not
65 change. No external event can modify its internal proce-
66 dures as they were originally designed. Alternatively,
67 if a 3-year-old child came on the same long journey,
68 s/he would change. The events that happened to/around
69 him/her would change not just his/her memory but also
70 his/her future development, his/her internal criteria and
71 the way in which future events will modify him/her. On
72 an intermediate level between the 3-year-old child and
73 the camera, there are classic artificial neural network
74 implementations, such as speech recogniser programs.
75 They are clever devices; they recognize normal speech
76 pronounced by an average male or female voice. They
77 store individuals’ voices and modify their internal pa-
78 rameters in order to learn how to improve their perfor-
79 mance. In this respect they are better than the camera:
80 what happens to them modifies their behaviour. If we
81 take two different instances of speech recogniser pro-
82 grams used by two different individuals, they are dif-
83 ferent: each is specialized on its owner’s voice. On the
84 other hand, if we take two cameras used by two different
85 photographers, they are exactly the same. Even classic
86 artificial neural networks are lacking something: their
87 goals remain the same. Independently of their experi-
88 ences they do not change their goals. On the other hand,
89 the 3-year-old child develops new goals at any time. Ex-

periences modify both his/her behaviour(s) and his/her
90 criteria. 91

92 From the previous example, it is clear that there
93 is a difference between motivation-based beings and
94 behaviour-based beings. In the next paragraph this dif-
95 ference will be described in detail and a candidate ar-
96 chitecture for artificial beings will be proposed. 97

98 A mallard duckling before its imprinting process has
99 no idea of the visual appearance of its mother; however,
100 since the bird sees its mother under favourable condi-
101 tions, it develops a strong motivation to see the mother
102 duck again. Before the imprinting there was no interest
103 whatsoever for that kind of visual object, but immedi-
104 ately afterwards, the mallard duckling tries to keep the
105 image of its mother inside its visual field. The moti-
106 vation is ‘to have the mother’s image inside the visual
107 field’. All its following actions are performed in order
108 to make this event occur as frequently as possible. If
109 that particular mother-bird had not shown itself to the
110 mallard duckling, the newborn bird would not have de-
111 veloped any interest in it. If a different image had been
112 shown instead of the real mother, let us say the face of
113 Konrad Lorenz, the newborn bird would have tried to
114 maximize the event ‘to have the face of Konrad Lorenz
115 inside the visual field’. More complex behavioural pat-
116 terns are based on the same concept of repetition of an
117 occurred event (motivation). Peter had a nice evening
118 with Susan so he invites her again in order to repeat
119 the pleasant experience. Mary had a pleasant time in
120 Venice and so she plans a new holiday there. 121

120 2. Architectures for building robots: a 121 taxonomy

122 Not all the motivations of biological systems are
123 fixed at birth: they only possess a very limited, survival
124 driven, built-in set of motivations. As they grow and
125 develop, biological systems continuously generate new
126 motivations on the basis of two separate factors: their
127 genetic background and their past experience. Both are
128 necessary in order to select a particular motivation. A
129 mallard duckling does not have the motivation to fol-
130 low its genetic mother. Yet, via its genetic background,
131 the bird possesses the capability of choosing a bird and
132 selecting it as a motivation. That particular bird (hope-
133 fully its mother) will become the motivation that will
134 control the learning of the bird.

135 The behaviour of behaviour-based artificial struc-
 136 tures depends on experience and motivations (goals)
 137 defined elsewhere at design time [1,2]. In complex bio-
 138 logical systems, behaviour still depends on experience
 139 and motivations; yet, motivations are not fixed. Mo-
 140 tivations are the result of the interaction between ex-
 141 perience and a limited number of hardwired instincts
 142 (the ones provided by genes). In many complex bio-
 143 logical systems, it is possible to distinguish between
 144 phylogenetic aspects and ontogenetic ones, nature ver-
 145 sus nurture [10–12]. In general, phylogeny refers to
 146 those processes that produce new structures (genes,
 147 bodily features, behaviours, instincts) in a time scale
 148 larger than that of single individuals. On the contrary,
 149 ontogeny is limited to the life span of single individu-
 150 als [10]. Furthermore, ontogeny can be driven by the
 151 phylogenetic repository (genes or instincts) or by the
 152 unpredictable contingencies of the environment. Here
 153 we endorse the view that is necessary to distinguish
 154 between goals which are determined before the actual
 155 development of an agent or subject, and those goals
 156 which are specified after the birth of the agent. We will
 157 call the former instincts and the latter motivations. The
 158 objective of this paper is to illustrate a simple set of
 159 procedures which produce motivations during develop-
 160 ment, as in the case of the imprinting procedure of
 161 birds.

162 Is it possible to implement instincts and motivations
 163 in an artificial system? We propose a taxonomy of ar-
 164 chitectures: a fixed control architecture, a learning
 165 architecture and an ontogenetic architecture (Fig. 1). In
 166 the first case (Fig. 1a), the system has no capability of
 167 modifying how it does what it does. There is a simple
 168 Decision Maker module, which take the input signal
 169 and produces the output on the basis of some a priori
 170 hard-wired module. Examples of this structure are
 171 simple control devices or machine automata. In the sec-
 172 ond case (Fig. 1b), the system is capable of modifying
 173 its behaviour to fulfil some a priori target. The system
 174 is capable of modifying *how* it behaves. The Decision
 175 Maker module is flanked by a Rule Maker module. The
 176 Rule Maker module can modify the a priori rules con-
 177 tained in the Decision Maker module on the basis of
 178 a priori hard-wired criteria. Examples of this structure
 179 are reinforcement learning or supervised learning ar-
 180 tificial neural networks. In the third case (Fig. 1c), the
 181 system is capable of modifying not only *how* it does
 182 what it does, but also to define *what* it does. The Mo-

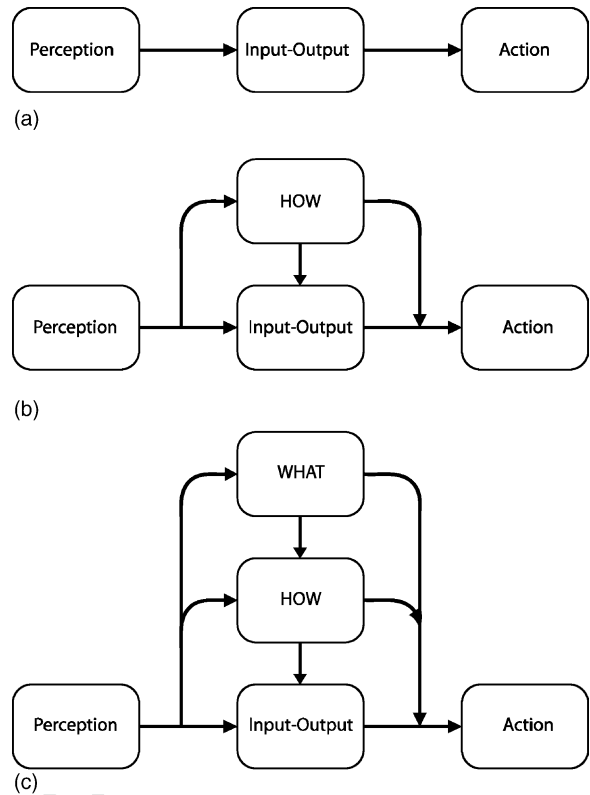


Fig. 1. Three possible architectures. In the first case (top) both *what* and *how* the system does is defined a priori; in the second case (middle) the system modifies *how* it behaves but not *what* it is doing; in the last case (bottom) the system modifies both *what* and *how* it does.

183 tivation Maker module sets the goals that have to be
 184 pursued by the Rule Maker module.

185 2.1. Fixed control architecture

186 In this case, the causal structure of the system is
 187 fixed (see Fig. 1a). There is no ontogenesis whatsoever.
 188 Notwithstanding the behavioural complexity of the sys-
 189 tem, everything happens because it has been previously
 190 coded within the system structure. A mechanical device
 191 and a complex software agent are not different in this
 192 respect: both are pre-programmed in what they must
 193 achieve and how they must achieve it. Nothing in their
 194 structure is caused by their experiences. Suitable ex-
 195 amples of this category are Tolam’s artificial sow bug
 196 [13], Braitenberg’s thinking vehicles [14], Brooks’ ar-

197 tificial insects [15,16] and recent entertainment robots
198 like Sony's AIBO and Honda's humanoid ASIMO.

199 2.2. Learning architecture

200 A different level of structural dependency with the
201 environment is provided by the architectures that can
202 learn *how* to perform a task (see Fig. 1b). Behaviour-
203 based robots can be classified in this category. Systems
204 based on artificial neural networks are well-known ex-
205 amples of this kind of architecture. These systems de-
206 termine how to get a given result once they have been
207 provided with a specific motivation. The motivation
208 can be given either as a series of examples of correct
209 behaviour (supervised learning) or as a simple evalu-
210 ation of the global performance of the system (rein-
211 forcement learning) [17,18]. In both cases some kind
212 of learning is applied. These systems lack the capa-
213 bility of creating new motivations. By controlling its
214 motors a behaviour-based robot can learn how to nav-
215 igate avoiding static and dynamic obstacles. However
216 the motivation behind this task is defined by the *a pri-*
217 *ori* design of the system. There are several examples
218 of this kind of learning agent: Babybot at LIRA-Lab
219 [19,20], Cog at MIT [7,21].

220 2.3. Ontogenetic architecture

221 A system that learns both *how* to perform a given
222 task and *what* task must be performed, corresponds to
223 an ontogenetic architecture (see Fig. 1c). This is the
224 case for most, if not all mammals; it is true for pri-
225 mates and for human beings. They are systems capable
226 of developing new motivations that do not belong to
227 their genetic background. In the field of artificial sys-
228 tems there has been a series of attempts to address this
229 problem [22–25] as well as attempts to locate similar
230 structures in the cortical architecture of humans [26].
231 For their development, these systems depend more on
232 the environment than the previous two categories. A
233 system belonging to the first category does not depend
234 on the environment for what it does or for how it does
235 what it does. A system belonging to the second cate-
236 gory does depend on the environment for how it does
237 what it does, but not for what it does, which is phyloge-
238 netically determined. A system belonging to the third
239 and last category depends on the environment both for
240 what and for how it does what it does.

3. A motivation-based architecture

241
242 The proposed architecture is ontogenetic according
243 to the previously defined taxonomy. The underlying
244 idea is to have a physical structure (that implements
245 the proposed architecture), which is activated by in-
246 coming events and develops motivations on this basis.
247 The proposed architecture makes use of elementary as-
248 sociative processes, simple Hebbian learning and case-
249 based reasoning.

250 The architecture receives an incoming stimulus and
251 produces a signal (Relevant Signal) which depends on
252 the value the system gives to the incoming stimulus.
253 For instance, if the incoming stimulus corresponds to
254 the mother's face, the system will produce a strong
255 Relevant Signal. If the incoming stimulus corresponds
256 to a dull grey object, the Relevant Signal will be weaker.

257 The architecture is made of three main modules:
258 the Category Module that is basically a pattern classi-
259 fier; the Phylogenetic Module that contains the *a priori*
260 criteria; the Ontogenetic Module that applies Hebbian
261 learning and develops new criteria by using the patterns
262 stored in the Category Module. The incoming stimuli
263 are stored in the Category Module on the basis of the
264 Relevant Signal coming from the Phylogenetic Mod-
265 ule and the Ontogenetic Module. At the beginning, the
266 Relevant Signal depends on those properties of the in-
267 coming signals that are selected by the Phylogenetic
268 Module. Subsequently, the Relevant Signal is flanked
269 by the new signals coming from the Ontogenetic Mod-
270 ule.

271 The architecture is aimed at mimicking the devel-
272 opment of motivations in human beings. For instance,
273 a human develops an interest for cars even if nothing
274 in his/her phylogenetic code is explicitly directed to-
275 wards cars. On the contrary, an insect cannot develop
276 new motivations but must follow its genetic blueprint:
277 it has no ontogenetic development. One of the issues of
278 this architecture is to explicitly divide the ontogenetic
279 part from the phylogenetic part.

3.1. Category Module

280
281 The category module has the role of grouping in
282 clusters, classes and categories of stimuli coming from
283 the external events. A discrete flow of incoming sig-
284 nals is the input of the category module. No hypothesis
285 is required for their timing; no hypothesis is required

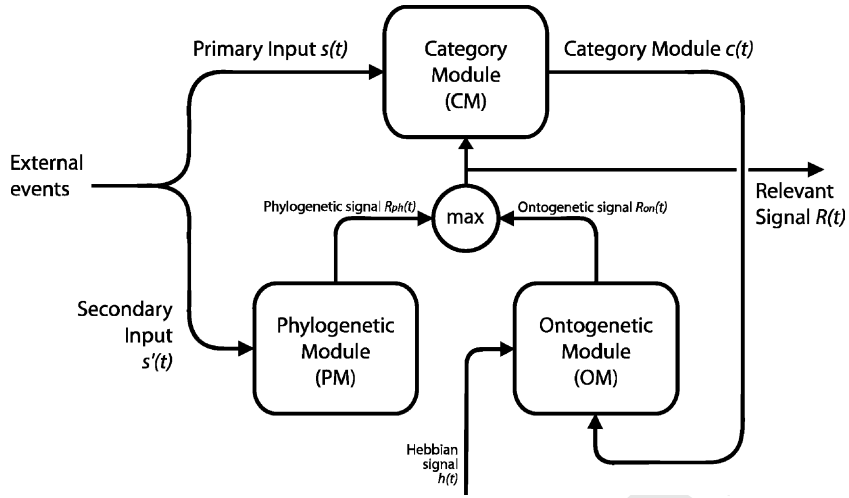


Fig. 2. A scheme for a motivation-based architecture.

286 for their nature. These signals could be of any kind
 287 (chunks of auditory signals, visual images, filtered vi-
 288 sual images). Each signal is represented by a vector \vec{s} of
 289 real numbers ($\vec{s} \in \mathfrak{R}^n$). CM creates a series of clusters
 290 C_i grouping classes of stimuli where each cluster C_i is
 291 a set of stored stimuli.

292 The process of cluster definition is based on an inter-
 293 nally built-in criteria for clustering and on the presence
 294 of a Relevant Signal (see Fig. 2).

295 Whenever an incoming signal is received, a Cat-
 296 egories Vector \vec{c} , which is the output of the CM, is
 297 computed. The Categories Vector contains as many el-
 298 ements as the clusters inside the CM at the time in
 299 which the incoming signal is analysed; the elements
 300 of \vec{c} provide an indication of which cluster best rep-
 301 resents the current stimulus. The i th element c_i is equal
 302 to the normalized difference between the maximum
 303 possible distance, usually 1, and the actual distance
 304 d_C (which will be explained below in this paragraph)
 305 between the incoming signal \vec{s} and the cluster C_i . In
 306 this way, the element c_i with the greatest value cor-
 307 responds to the cluster C_i that best matches the incoming
 308 signal:

$$309 \vec{c} = \begin{pmatrix} 1 - d_C(\vec{s}, C_1) \\ 1 - d_C(\vec{s}, C_2) \\ \vdots \\ 1 - d_C(\vec{s}, C_n) \end{pmatrix}.$$

310 There is no unique way to determine the distance func-
 311 tions d_C ($d_C : (\mathfrak{R}^n \times D) \mapsto \mathfrak{R}$, D cluster domain) be-
 312 tween a vector and a cluster. The process of c_i updating
 313 requires the definition of two thresholds: one to define
 314 the minimum distance from cluster (mcd) and another
 315 to define the maximum distance from a cluster (Mcd).

316 The CM tunes its activity on the basis of the Rel-
 317 evant Signal. As shown in Fig. 3, the Relevant Signal
 318 ($R(t)$) is the sum of two different signals: the Relevant
 319 Ontogenetic Signal ($R_{on}(t)$) and the Relevant Phyloge-
 320 netic Signal ($R_{ph}(t)$), according to

$$321 R(t) = \max(R_{on}(t), R_{ph}(t)).$$

322 *If and only if* the Relevant Signal is active, every time
 323 a signal is received, the CM performs the following
 324 actions:

- 325 (i) If the stimulus is too similar to the already stored
 326 stimuli, do nothing ($d_C(\vec{s}, C_i) < mcd$).
- 327 (ii) If the stimulus is sufficiently similar to one of the
 328 previously created clusters ($mcd \leq d_C(\vec{s}, C_i) \leq$
 329 Mcd), the stimulus is added to that cluster.
- 330 (iii) If the stimulus is not sufficiently similar to any of
 331 the stimuli already stored, a new cluster is created
 332 ($d_C(\vec{s}, C_i) > Mcd$).

333 By storing a stimulus only if the Relevant Signal is
 334 active, the system does not assign new resources for
 335 every incoming signal (the first rule is useful to avoid
 336 to store equivalent stimuli).

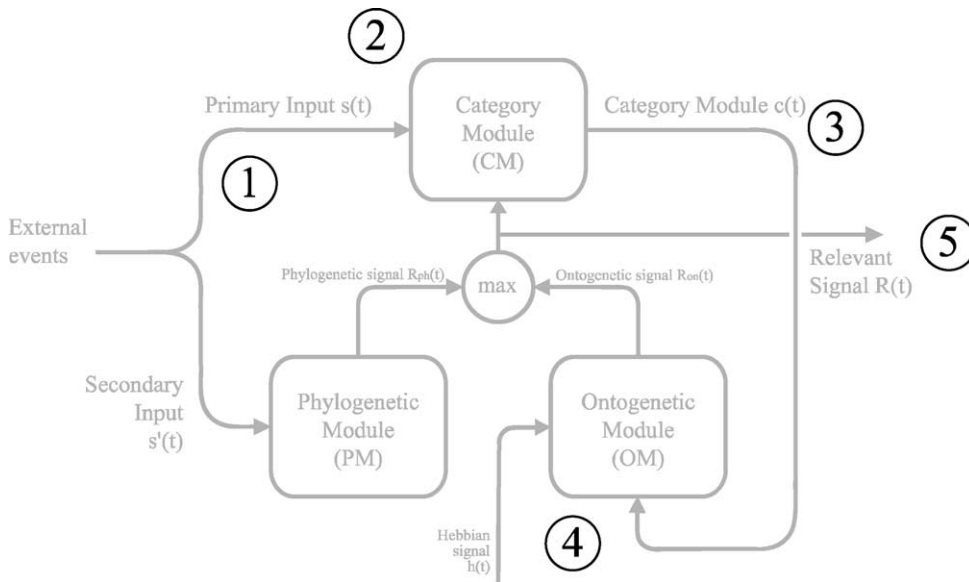


Fig. 3. Timing of operations.

3.2. Phylogenetic Module

The Relevant Phylogenetic Signal, $R_{ph}(t)$ is produced by the Phylogenetic Module (PM, Fig. 2). This module is the only one that has some built-in criteria concerning the relevant properties of the incoming signal (for instance, the structure of the Category Module does not present any similar feature). Functionally, it has the same role as the genetic instincts in biological systems. It is similar to saliency systems or attention mechanisms [27]: it selects which stimuli are worth the attention of the system. A Phylogenetic Module works in two different ways: (i) it autonomously produces a signal on the basis of some internal criteria; (ii) it produces a signal on the basis of some external events. In the second case the PM needs some kind of elementary capability in order to recognize particular occurrences of events in the external environment (the presence of the mother, the presence of soft or brightly coloured objects).

For instance, a baby looks with more curiosity at brightly coloured objects than at dull colourless objects, independently of any past experience. This behaviour requires the existence of a hardwired function looking for a relevant property of images (saturated colours). This module provides criteria that can be used

to select correct actions (for instance those actions that maximize the presence of the interesting stimuli).

The performance of the Phylogenetic Module is implemented by the function $f_{phylogenetic} : \mathcal{R}^n \mapsto [0, 1]$ applied to the input $\vec{s}'(t)$ that is a signal from which it is possible to know if something relevant is happening. The signal $\vec{s}'(t)$ comes from the external environment. For instance it could be a verbal approval for a specific event; or it could be a reward/punishment following a behaviour. The resulting output is:

$$R_{ph}(t) = f_{phylogenetic}(\vec{s}'(t)).$$

A system could contain one phylogenetic function for each kind of event the designers want the system to react to. For instance, there could be a function to detect the presence of round-shaped objects (a prototype for faces), a function to detect the presence of objects with highly saturated colours and a function to detect the presence of moving objects. At every instant there could more than one function to signal that something interesting is going on: more than one $f_{phylogenetic}$ function can be evaluated. The output of the Phylogenetic Module is the maximum among the outputs of the different $f_{phylogenetic}$ functions whose input is always

385 $\vec{s}'(t)$:

$$386 R_{\text{ph}}(t) = f_{\text{phylogenetic}}(\vec{s}'(t)) = \max_{i=1, \dots, m} (f_{\text{phylogenetic}}^i(\vec{s}'(t))),$$

387 where m is the number of kinds of events which the
388 system is capable of reacting to from the beginning. So
389 m is the number of elementary instincts (each corre-
390 sponding to a separate phylogenetic function) that the
391 system possesses. It is important to outline that (i) the
392 Phylogenetic Module is incapable of adaptability and
393 that (ii) the Phylogenetic Functions might be very sim-
394 ple because their role is to orient the attention of the
395 CM towards certain classes of objects, albeit making
396 mistakes.

397 In a multisensory system, each sensory modality can
398 be used as an alternative source of information for an-
399 other sensory modality. In real biological systems, there
400 are plenty of sources of information (like pain, skin re-
401 ceptors, tactile information) that can be the input $\vec{s}'(t)$
402 of the PM. The same sensory modality can be the input
403 both for the PM and for the CM. If this happens, it is
404 possible to assume that

$$405 \vec{s}'(t) = \vec{s}(t).$$

406 If the system were composed of just the PM and
407 the CM, the system would be a reinforcement learning
408 system.

409 3.3. Ontogenetic Module

410 Whereas the Phylogenetic Module has built-in cri-
411 teria about the nature and the relevant properties of the
412 incoming signal, the Ontogenetic Module selects new
413 criteria on the basis of experience. Functionally it has
414 the same role as the acquired ontogenetic criteria in
415 biological systems.

416 The Ontogenetic Module acts as a gate for the
417 incoming output of the CM $\vec{c}(t)$. The gating proce-
418 dure is implemented by means of an internal vector
419 $\vec{g} = (g_1, \dots, g_n)^T$ which has the same number of ele-
420 ments as the clusters in CM. \vec{g} is contained inside the
421 Ontogenetic Module. The output of the OM is com-
422 puted as the maximum among the elements g_i times
423 the elements c_i of the CM:

$$424 R_{\text{on}}(t) = \max_{i=1, \dots, n} (g_i \cdot c_i). \quad (1)$$

425 The g_i have the role of gates (hence the use of the
426 letter g) in order to let or to prevent the effect of the

427 output of the CM to propagate further. If the g_i are pos-
428 itive, the corresponding c_i contribute to the Relevant
429 Signal. Since the c_i represent the stored categories ac-
430 quired during the experiences of the system, the R_{on} is
431 the result of the ontogenetic development.

432 The result of the architecture is to produce a new re-
433 inforcement signal $R_{\text{on}}(t)$, which depends only on the
434 actual experiences of the system (i.e. on the received
435 input signals). Here $R_{\text{on}}(t)$ is called the Relevant Onto-
436 genetic Signal because it derives from the actual expe-
437 riences of the system. It is the result of the development
438 of an individual system and its history; hence it pertains
439 to its ontogeny.

440 The vector \vec{g} is the result of a Hebbian learning
441 implementation with respect to the simultaneous oc-
442 currence of signals ($h(t)$, $\vec{c}(t)$); learning happens when
443 $h(t)$ and $c_i(t)$ fire simultaneously. The value of g_i ap-
444 proaches the value 1 if the signal $h(t)$ and the component
445 $c_i(t)$ are correlated in time. A possible function is the
446 following:

$$447 g_i = \frac{2}{\pi} \arctan \left(\int_{t_0}^t (h(\tau) \cdot c_i(\tau))^q d\tau \right),$$

448 where $q \in [0, 1]$ can be used to tune the speed of learn-
449 ing. The element $c_i(t)$ corresponds to the i th elements of
450 the output of the CM, and $h(t)$ is the signal that controls
451 the performance of the Ontogenetic Module.

452 Four different choices are possible for $h(t)$: (i) $h(t)$
453 is set to equal a positive constant; (ii) $h(t)$ is an a priori
454 time variant function; (iii) $h(t)$ is set to equal the output
455 of the PM ($h(t) = R_{\text{ph}}(t)$); (iv) $h(t)$ is connected to some
456 independent sources of signals that are linked to the
457 environment.

458 In the first case, since $h(t)$ is a constant, each g_i is
459 proportional to how much the corresponding category
460 has been represented in the input stimulus \vec{s} . The more
461 frequent and the more intensely a category matches the
462 input, the greater its effect on the Relevant Ontogenetic
463 Signal will be.

464 In the second case, $h(t)$ varies in time according to
465 an a priori time variant function. Each g_i will corre-
466 spond to those categories that are representative of the
467 input during those periods in which $h(t)$ is larger. For
468 instance, $h(t)$ might be high in an initial period and
469 then it might vanish: the Ontogenetic Module will ac-
470 cept only those categories that are representative of the
471 input during the initial period.

In the third case ($h(t) = R_{ph}(t)$), in an early stage, each g_i will be representative of those categories that occur at the same time as the activations of the Phylogenetic Functions. Eventually, there can be a drift from the categories selected by Phylogenetic Functions to the new categories selected by the Ontogenetic Functions.

In the fourth case, $h(t)$ is assigned to a separate source of signals; different sensor modalities can be associated. For instance, the incoming signal \vec{s} might be visual, while $h(t)$ might be the result of the tactile sensory modality. As a result, the g_i would be higher when the two different sensory modalities are simultaneously present.

The OM produces a new reinforcement signals that are indirectly related to the phylogenetic structure of the system. The interaction between the OM and the CM generates a new set of functions, which are the ontogenetic equivalent of the phylogenetic functions:

$$f_{\text{ontogenetic}}^i(\vec{s}) = g_i(t)(1 - d_C(\vec{s}, C_i)).$$

At each instant, the ontogenetic functions $f_{\text{ontogenetic}}^i(\vec{s})$ compute the relevant ontogenetic signal. Their form depends on the information stored in the g_i and in the C_i , which is the result of the past history of the system. We can rewrite Eq. (1) as follows:

$$\begin{aligned} R_{\text{on}}(t) &= \max_{i=1, \dots, n} (g_i \cdot c_i) \\ &= \max_{i=1, \dots, n} (f^i(\vec{s}(t))) = f_{\text{ontogenetic}}(\vec{s}(t)). \end{aligned}$$

3.4. How the architecture works

The main goal of the architecture is to create a structure that can be changed completely by its own experiences. In the architecture there is a clear-cut division between the phylogenetic part (the a priori section) and the ontogenetic part produced by the interaction with the environment.

As it is possible to see in Fig. 3, the timing of operations is the following. First the incoming stimulus (1) is compared to each cluster of stored vectors (2) and, as a result, the output vector is computed on the basis of the current structure of the network (3). Then the Ontogenetic Signal is computed by the Ontogenetic Module (4). Finally, the Ontogenetic Signal is combined with the Phylogenetic Signal to produce the

Relevant Signal that is sent to the Category Module and to the output (5). Only at this stage the Category Module modifies its clusters on the basis of both the incoming stimuli and the Relevant Signal. If the Ontogenetic Module were not active, the architecture would stop its development and become a pure feed forward network.

4. Experimental results: the emergence of motivations

To test the architecture, an experiment was carried on in which a robot embodying the proposed motivation-based architecture develops a new motivation on the basis of its own experiences. In the experiment, an incoming class of visual stimuli (not coded inside the architecture) produces a modification in the system's behaviour differently from what happens in behaviour-based robots. In behaviour-based robots the transition between different behaviours elicited by a motivation is defined by the designer and does not depend on a newly produced self-motivation. By interacting with the environment, the system adds a new motivation that changes not only *how* (behaviour) but also *what* (motivation at the basis of behaviour) the system is doing. The system has, in this preliminary experiment, a single behaviour: directing or not its gaze towards objects. This behaviour is not what is learned by the architecture; it is used by the architecture to show the effects of its new motivation.

A series of different shapes associated with colours were presented to the robot. The system is equipped with a phylogenetic motivation that is aimed at very coloured objects; a colourless stimulus, independently of the shape, does not elicit any response. Since the system has an ontogenetic module it develops further motivations directed towards classes of stimuli different from those relevant for its phylogenetic module. After a period of interaction with the visual environment (constituted by a series of elementary coloured shapes), the robot is motivated by colourless shapes also. The system shows the capability to develop a motivation (by directing its gaze towards the stimulus) that was not envisaged at design time and that is the result of the ontogenetic development.

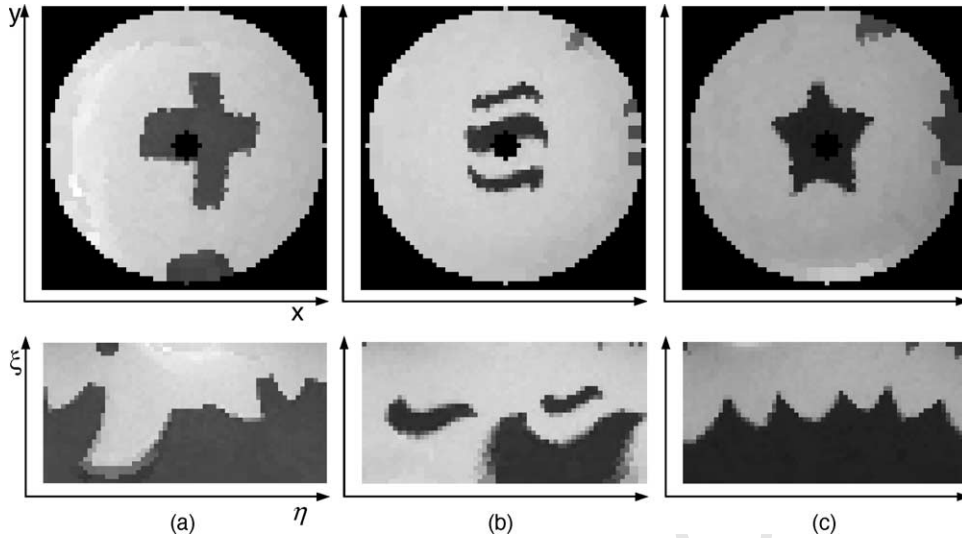


Fig. 4. The Cartesian (upper row) and log-polar (lower row) images for a cross (a), a wave (b), and a star (c).

559 4.1. Robotic setup

560 A robotic head with four degrees of free-
 561 dom has been adopted as robotic setup. We
 562 used the EuroHead developed for navigation (Pan:
 563 range = 45°, velocity = 73°/s, acceleration = 1600°/s,
 564 resolution = 0.007°; Tilt: range = 60°, velocity = 73°/s,
 565 acceleration = 2100°/s, resolution = 0.007°) [28]. How-
 566 ever, we only used two degrees of freedom of the head
 567 since, for the purpose, of this experiment only a point-
 568 ing device was needed. Robots characterized by more
 569 sophisticated morphologies could have been used to
 570 perform more complex tasks. However, in this prelim-
 571 inary stage of research, an exceedingly complex combi-
 572 nation of morphological, behavioural and computa-
 573 tional factors would have been extremely difficult to be
 574 interpreted.

575 4.1.1. Sensory Module

576 The robotic head was equipped with a videocam-
 577 era capable of acquiring log polar images [29,30]. Log
 578 polar images (Fig. 4) are defined by

579 $x = \rho \cos(\theta), \quad y = \rho \sin(\theta),$
 580 $\theta = k \cdot \eta, \quad \rho = r_0 \cdot a^\xi,$

together with

581 $\rho = \sqrt{x^2 + y^2}, \quad \theta = \arctan\left(\frac{y}{x}\right),$
 582 $\eta = \frac{\theta}{k}, \quad \xi = \ln_a\left(\frac{\rho}{r_0}\right).$
 583

584 These images offer two main advantages among the
 585 others: (i) invariance with respect to rotation and scal-
 586 ing; (ii) reduced number of pixels with wide field of
 587 view. Furthermore, in this case the use of log-polar
 588 images allows an implicit selection of a target (due to
 589 the space-variant distribution of receptors). In foveated
 590 visual apparatus, the central part of the image corre-
 591 sponds to the majority of pixels and thus when an ob-
 592 ject is fixed, its image is much more important than the
 593 background. As a result, there is no need to perform
 594 explicit selection of a target; the direction of the gaze
 595 implicitly selects its own target.
 596

597 The robotic head has two degrees of freedom: the
 598 camera is capable of a tilt and pan independent motion
 599 (Fig. 5). Since the head was able to move only
 600 in a limited span with the pan and the tilt (40° each) it
 601 was possible to determine which point on the board was
 602 looked at. By measuring the angle position of each sac-
 603 cade is possible to measure which region of the visual
 604 stimulus is more frequently observed by the head.

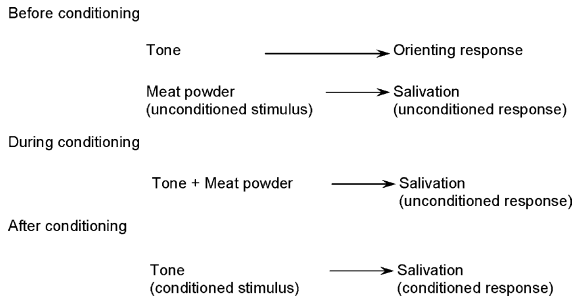


Fig. 5. Sensory and motor setup.

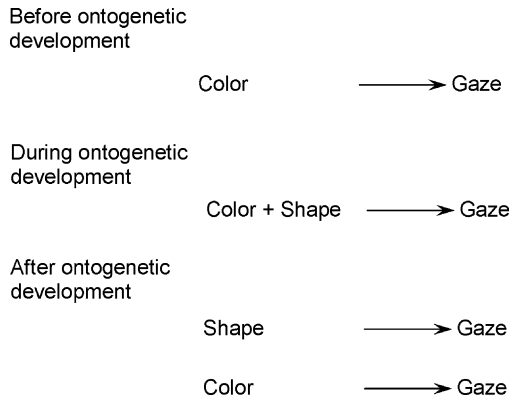


Fig. 6. The probability density function on the basis of the control parameter λ .

4.1.2. Motor Module

The robotic head is programmed to make random saccades; a Motor Module generates saccades on the basis of an input signal λ that controls the probability density of the amplitude r . The motor input λ is the only signal needed by the Motor Module in order to control its actions. The probability function of the angle has a uniform distribution from 0 to 2π . The probability function of the amplitude is equal to

$$p(r, \lambda) = \frac{1}{\int_{-r_{\max}}^{+r_{\max}} e^{-\lambda \cdot \rho^2} d\rho} e^{-\lambda \cdot r^2},$$

where r is the random variable for the amplitude (Fig. 6). If λ is low (near to 0), the probability density is almost constant, therefore there is an equal probability for each amplitude. If λ is higher, a small amplitude is more probable.

The rationale of this probability schema resides on the fact that the motor unit should mimic an exploratory strategy. When a visual system explores a field of view,

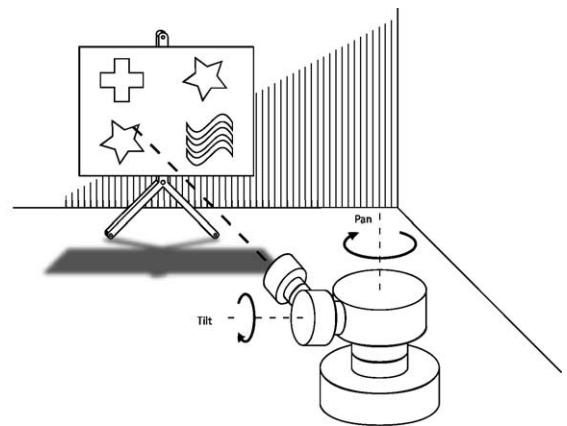


Fig. 7. The proposed architecture (named 'Artificial Motivations') is independent of the sensor and motor parts. It contains some basic information about the relevant signal to bootstrap the system.

it makes large random saccades. When it fixates an interesting object, it makes small random saccades.

4.2. Architecture implementation

It is important to note that no modification has been made to the architecture on the basis of the particular properties of the robotic setup. The architecture could be used in a completely different robotic setup, with completely different input and output signals without having to change (Fig. 7).

4.2.1. Category Module

The Category Module creates clusters of incoming stimuli on the basis of the Relevant Signal. Each of these clusters corresponds to a category. Further than the Relevant Signal, the CM uses an internal criteria to control the cluster creation: the distance function $d_C(\vec{v}, C)$ between a vector and a cluster. This distance is derived from a distance function between vectors $d(\vec{v}, \vec{w}): d : (\mathfrak{R}^n \times \mathfrak{R}^n) \mapsto \mathfrak{R}$, d continuous. must be a distance between vectors. Suitable candidates for this function are the Minkowski function or the Tanimoto distance or the correlation function [31]. In the experiment the function is implemented as such

$$d(\vec{v}, \vec{w}) = C(\vec{v}, \vec{w}) = \frac{1}{2} \left(1 - \frac{\sum (v_i - \mu_v)(w_i - \mu_w)}{\sqrt{\sum (v_i - \mu_v)^2 \cdot \sum (w_i - \mu_w)^2}} \right).$$

647 The advantages of this function are that it is more
 648 robust to change in average value, more resistant to
 649 noise.

650 On the basis of $d(\vec{v}, \vec{w})$ it is possible to define the
 651 distance function between a vector and a set of vectors.

652 Two solutions are easily implemented. First, the dis-
 653 tance between a vector and a cluster is computed as the
 654 minimum distance between a given vector \vec{v} and all the
 655 vectors belonging to a given set C :

$$656 d_C(\vec{v}, C) = \min_{\vec{w} \in C} (d(\vec{v}, \vec{w})).$$

657 Yet the above approach is computationally expen-
 658 sive since it entails that, for a given set, all vectors must
 659 be stored somewhere. A different approach is based on
 660 the assumption that it is possible to compute the av-
 661 erage distance, which is equal to the distance with the
 662 centre of gravity. If M is the number of elements of set
 663 C , and \vec{c} is its mean vector:

$$664 d_C(\vec{v}, C) = \frac{\sum_{\vec{w} \in C} d(\vec{v}, \vec{w})}{M} = d(\vec{v}, \vec{c}).$$

665 This approach has the advantage that is sufficient to
 666 keep in memory only the mean vector of each set. This
 667 means that each set can be stored as a vector. The results
 668 are based on this solution. It is important to note that
 669 no specific information about the nature of the vectors
 670 is part of the Category Module.

671 *4.2.2. Phylogenetic and Ontogenetic Modules*

672 The Phylogenetic Module contains the built-in cri-
 673 teria to bootstrap the system. In this case the built-in
 674 criterion consists in selecting brightly coloured objects.
 675 This module implements the following phylogenetic
 676 function:

$$677 R_{ph} = \frac{\sum \text{Saturation}(\eta, \xi)}{N},$$

678 where R_{ph} is the Relevant Signal, $\text{Saturation}(\eta, \xi)$ the
 679 colour saturation at the pixel (η, ξ) in log polar coor-
 680 dinates, and N the total number of pixels in the im-
 681 age. Therefore R_{ph} is proportional to the average level
 682 of colour saturation. This phylogenetic function repre-
 683 sents the only built-in part of the architecture. It cor-
 684 responds to the phylogenetic contribution to the devel-
 685 opment of the system. The Relevant Signal R_{ph} is used
 686 to control the motor behaviour: even if the architec-
 687 ture were composed only by the phylogenetic module,
 688 it would drive the system towards highly colour satura-
 689 ted targets. In the neighbourhood of a coloured object
 690 oscillations of this function are possible, however there
 691 will always be a maximum in correspondence of an im-
 692 age centred on the coloured target. When the target is
 693 in the fovea of the log polar image, it corresponds to
 694 the maximum number of pixels.

695 The Ontogenetic Module corresponds to the defi-
 696 nition we gave in Section 3.3; no modifications were
 697 needed.

698 *4.3. A comparison with Pavlov’s classic conditioning*

700 As a final argument, we would draw a compari-
 701 son with Pavlov’s classic experiment of conditioning
 702 (Figs. 8 and 9). The reasons for this comparisons are
 703 two-fold: (i) there are strong similarities; (ii) there is ev-
 704 idence that many cognitive learning processes could be
 705 reduced to Pavlov’s associationism [5,32]. In Pavlov’s
 706 case, the focus was on the capability of modifying the
 707 relation between a given stimulus and a given response.
 708 Although, Pavlov’s dog was able to select a different
 709 stimulus (the ring of the bell), the focus was more on
 710 the fact that the dog was capable of linking the stim-
 711 ulus to a behaviour (the salivary response) rather than
 712 to the capability of selecting a given stimulus from the
 continuum of the environment.

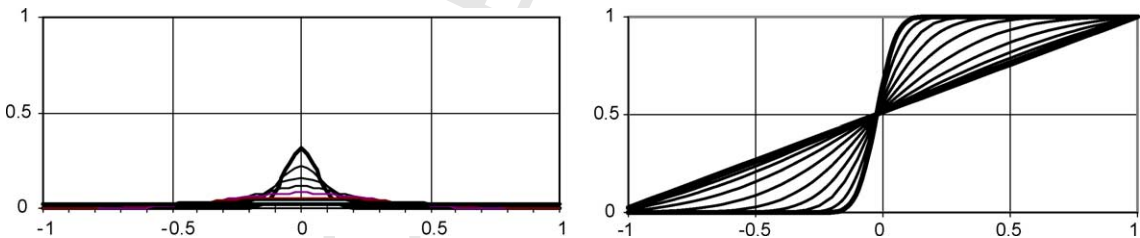


Fig. 8. The three stages of conditioning in the classical Pavlov experiment.

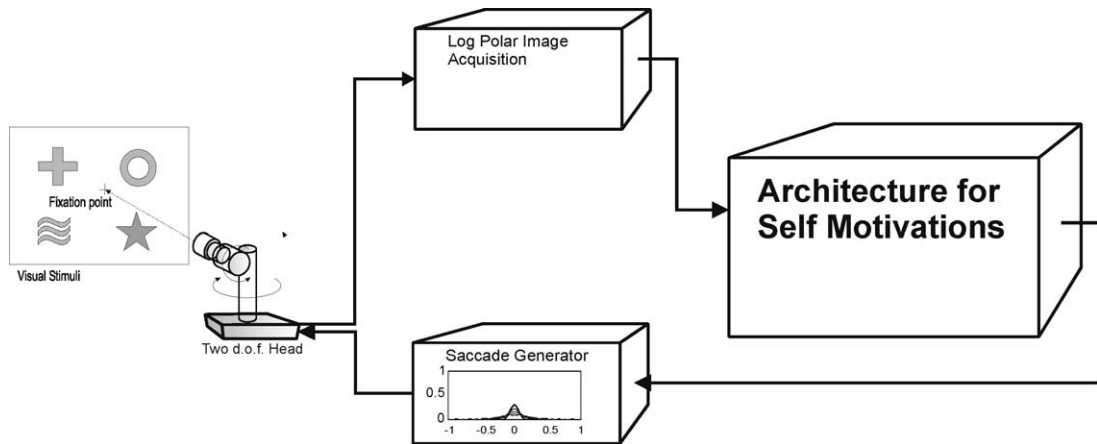


Fig. 9. The three stages of ontogenetic development.

In Pavlov’s experiment, there are two hardwired receptors for two different kinds of stimuli (sound of a bell and meat powder): one is a neural structure capable of recognizing the presence of food and another is a neural structure capable of recognizing the ring of a bell. Before the conditioning process, the behavioural response (the salivation) was only connected with the presence of food. During the training, the conditioned response became stronger, more drops of saliva were secreted. The learning consisted in the creation of a connection between the conditioned stimulus and the response.

In our case, the conditioned stimulus does not exist before the conditioning process. The machine is not capable of recognizing the unconditioned stimulus (the shape of an object). It only recognizes coloured objects. At first sight, our experiment might recall Pavlov’s experiment. It could be argued that the Phylogenetic Stimulus corresponds to the Unconditioned Stimulus, and the Ontogenetic Stimulus corresponds to the Conditioned Stimulus; and the Developmental Signal might correspond to the Response (first Unconditioned and then Conditioned). This is not the case. In the described circumstances, since the colour was presented conjointly with the shape of an object, a new ontogenetic stimulus (the shape) is added to the machine’s repertoire of stimuli.

A useful concept is that of the *Umwelt* of a subject [33,34]: the set of all events which can interact with a subject given its sensory/motor/cognitive capabilities. In the case of the ontogenetic development of new mo-

tivations, the *Umwelt* of the machine is increased and enlarged to a new kind of event. Two things have happened: (i) the machine has learned to recognize something which was previously unknown to it; (ii) the machine has linked such new stimulus to a given motor behaviour. Pavlov’s experiment highlighted the fact that the dog had learnt a relation between an already assessed stimulus to a motor response. The goal of our experiment is to create the capability of recognizing new stimuli.

4.4. Experimental results

We presented different sets of visual stimuli to the system. A first set consisted in a series of colourless geometrical figures as shown in Fig. 10a on the left. The frequency with which the system was looking at different points was measured. The system spent more time on stimuli corresponding to its motivations by reducing the amplitude of its saccades. At the beginning the system was looking around completely randomly with large saccades since its Ontogenetic Module was unable to catch anything relevant and the Phylogenetic Module was programmed to look for very saturated coloured objects, which were absent in the first set.

Subsequently we presented a different stimulus: a series of coloured figures (Fig. 10b on the left). The difference is shown in Fig. 10b. The head spent more time on the coloured shapes instead on the white background because of the phylogenetically implanted rule.

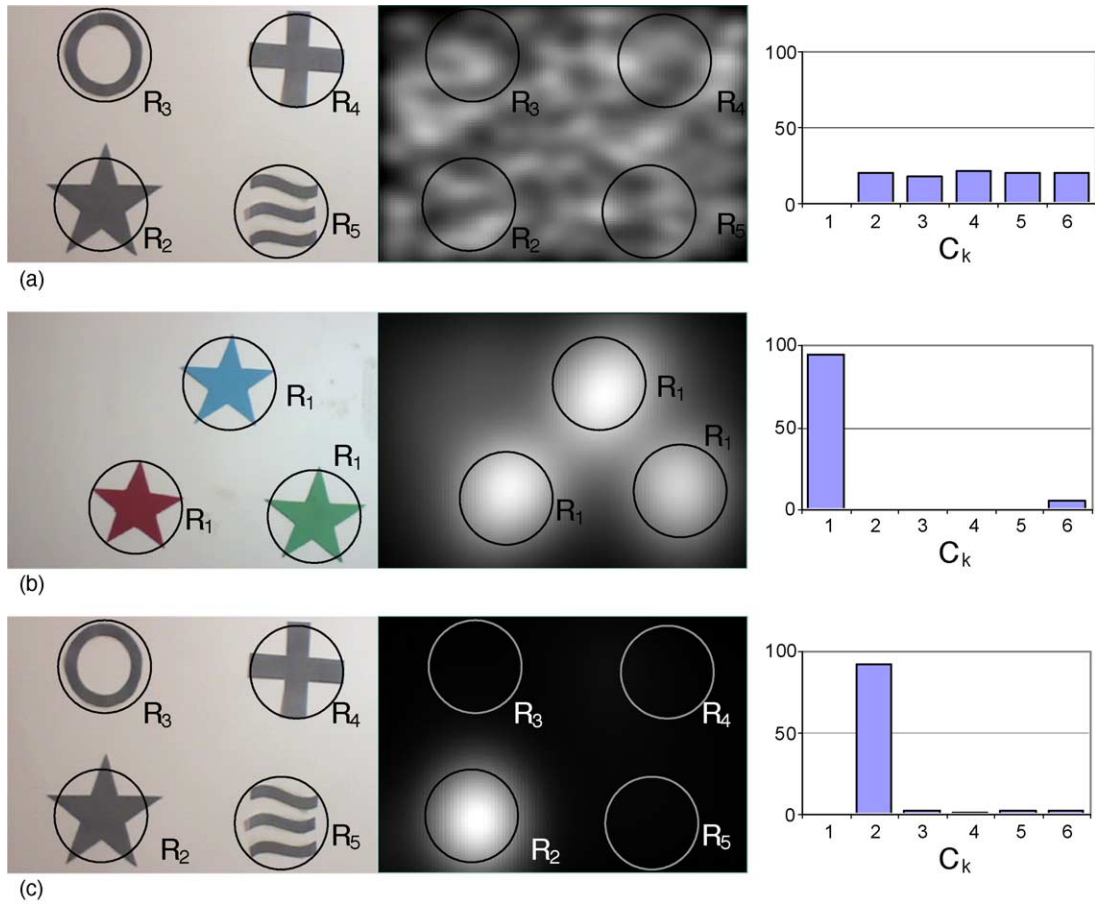


Fig. 10. Experimental results.

773 Finally we presented again the initial stimulus (the
 774 set of colourless shapes). The system spent more
 775 time on the colourless shapes than on the background
 776 (Fig. 10c). The behaviour of the system changed since
 777 the system added a new motivation (shapes) to the pre-
 778 vious ones (saturated colours).

779 In order to measure the different behaviour of the
 780 system, the time spent by the system on each shape
 781 was measured in two different ways: a qualitative one
 782 (the middle column) and a quantitative one (the right
 783 column).

784 To get a qualitative visual description of how much
 785 time was spent by the system on each point of its field
 786 of view, we assigned to each point of the visual field
 787 an intensity value proportional to the normalized time
 788 the system gaze spent on it. The images in the centre of

789 Fig. 10a–c were generated after 10^3 saccades (equiva-
 790 lent to about 500 s). The field of view of the head was
 791 divided in a 64×64 array. For each point (i, j) in the
 792 visual field, the amount of time the gaze of the head
 793 was directed on it was computed:

$$t_{i,j} = \text{total time spent looking at point } (i, j).$$

794
 795 The intensity of the point was then set proportional
 796 to a normalized value of $t_{i,j}$. With the first set of vi-
 797 sual stimuli, the resulting image is in Fig. 10a (mid-
 798 dle). The system does not show any polarization to-
 799 wards a specific part of the field of view. The beha-
 800 viour of the system is completely different to its re-
 801 sponse to the coloured stimulus: there are three defi-
 802 nite centres of interest (Fig. 10b, middle). However,

after the interaction with the star has shaped a new goal which becomes part of its behaviour. In Fig. 10c (middle) the original grey stimuli produces a completely different response: the grey star became a centre of interest.

To get the quantitative measure (right column), we measured the time spent by the head inside the circular areas shown in the left column surrounding the stimuli: a rough indicator of the time spent looking at a certain shape. The region of interest were named according to the following notation: the coloured figures (R_1), grey star (R_2), grey cross (R_3), grey waves (R_4), grey circle (R_5). In the graphs of Fig. 10 on the right, for each region, the normalized time was computed according to the following formula:

$$c_k = 100 \frac{\sum_{(i,j) \in A_k} t_{i,j}}{\sum t_{i,j}},$$

where $t_{i,j}$ is the same of the previous formula, and A_k corresponds to a set of region: A_1 corresponds—for each group of stimuli—to what is not occupied by the stimuli; A_2 corresponds to the union of the three areas occupied by the three coloured stars (R_1); while $A_{2,3,4,5}$ correspond, respectively, to the four regions occupied by the grey shapes ($R_{2,3,4,5}$).

In order to test the efficacy of the architecture presented, the experiment was repeated in a simulated environment. In this way it was possible to check its soundness and generalize its software implementation. In the simulated version of the experiment, similar stimuli were presented and a simulated gaze was directed towards different points of the image. The images used were 1024×768 pixels; the artificial retina had a 64 pixels diameter. In Fig. 11, the experimental results are visible. All the other parameters exactly match the Eurohead. Instead of computing a frequency density value to each point of the field of view, a collection of 10^3 is displayed for each of the presented stimuli. From a qualitative point of view, the relevant changes in the behavioural and motivational property of the system are clearly visible.

In future, we are planning to implement this architecture in more complex robotics setup and in more realistic environment. However, we believe that the general principle is already clearly illustrated by these simplified experiments.

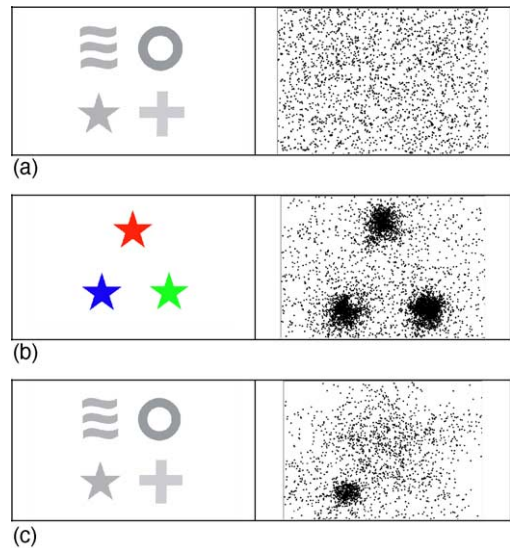


Fig. 11. A simulated version of the experiment: on the left the artificial stimuli, on the right the measured fixation points.

5. Conclusions

Ever since Grey Walters' wrote about his turtles the history of robots has chronicled their efforts to establish a relationship with the environment. The transition from deliberative robots to reactive robots, then to behaviour-based robots bears witness to this trend. The recent appearance on the market of entertainment robots sheds new light on motivation-based robots.

Environment driven motivations provide the internal criteria for the development of artificial beings and supply their means and goals: how they do what they do.

Ontogenetic development allows the artificial being to elaborate the criteria on which it can associate external stimuli. In the aforementioned experiment the visual stimuli were associated first on the basis of a phylogenetic criterion (the colour saturation), then on the basis of an ontogenetic criterion derived from the system experience (shape). The ontogenetic architecture allows to self-associate different stimuli (the colour and the shape) on the basis of the interaction with the environment. A new motivation (looking for a shape) is the product of the individual history of the architecture in a given environment. Recently, self associative learning has been identified as the possible key to the development of consciousness [5]. It follows that an ontoge-

netic architecture based on environment-derived motivations might provide the basis for the development of an artificial conscious robot.

In this paper we have used the intentionalistic mentalistic vocabulary to introduce intentional concepts such as ‘motivations’ and ‘experience’. A correct definition of these terms applied to artificial beings should be free from any ontological or linguistic commitment. This tenet is evident when instead of biological beings we have to deal with artificial systems since it is not clear whether they possess intentional properties or not. For instance, if we are dealing with human beings, it is safe to use words like ‘intentions’, ‘motivations’, ‘experience’. However, if we are dealing with robots or other kinds of artificial systems, it is ambiguous to use the same terminology. Edelman and Tononi wrote that: “to understand the mental we may have to invent further ways of looking at brains. We may even have to synthesize artifacts resembling brains connected to bodily functions in order fully to understand those processes. Although the day when we shall be able to create such conscious artifacts is far off we may have to make them before we deeply understand the processes of thought itself” [35].

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Vincenzo Tagliasco was born in Savona, Italy, in 1941. He is currently a Full Professor of Bioengineering at the University of Genoa, Italy. His main field of work includes vision and motor system in human beings. At present he teaches and carries out research work in the area of artificial consciousness.

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Riccardo Manzotti was born in Parma, Italy, in 1969. He received his Laurea Degree in Electronic Engineering in 1994, in Philosophy in 2004, his Ph.D. in Robotics in 2000 from University of Genoa. He is currently Research Fellow for the Institute of Human and Environmental Sciences (IULM University, Milan). His present research activities focus on the areas of artificial intelligence, consciousness and robotics.

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